

GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF MENTAL HEALTH



June 9, 2008

Dennis R. Jones, Monitor
1730 Rhode Island Avenue, NW, Suite 206
Washington, D.C. 20036

Re: Dixon et al. v. Fenty, et al.
CA No. 74-285 (TJH)
Evidence of Compliance with Exit Criterion #18 – 60% of Expenditures Directed
Toward the Community

Dear Mr. Jones:

I am pleased to report that the Department of Mental Health (“DMH”) has met and exceeded the performance target for Exit Criterion #18 for FY 2006, by expending 60.45% of its total budget (\$142,627,004.27 of total expenditures of \$235,924,405.90) on community-based services. Therefore, in accordance with the December 12, 2003 Consent Order Approving Agreed Exit Criteria With Measurement Methodology and Performance Levels (the “Exit Criteria Order”), DMH is formally submitting evidence that the District of Columbia has achieved compliance with Exit Criterion #18, Demonstrated Increase in the Percentage of Total Resources Directed Toward Community-Based Services. Exit Criterion #18 is one of the exit criteria characterized as “Demonstrated Efficient Use of Resources” in the Exit Criteria Order.

We request that the Dixon Court Monitor: (1) find that DMH has achieved the performance levels required for Exit Criterion #18; (2) report on the performance levels to the U.S. District Court as required by the Exit Criteria Order; and (3) cease active monitoring of Exit Criterion #18.

Exit Criterion #18 Requirements.

The Exit Criteria Order includes the following requirements for demonstrating compliance with the performance levels established in Exit Criterion #18:

General Methodology for Measurement: The dollars expended for community services (Department-run and contracted) will be measured as a percentage of the total DMH expenses for the same period.

Required Performance Levels: 60% of total annual DMH expenditures will be directed toward community-based services.

Operational Definition: Annual community services expenditures as a percentage of total DMH expenditures.

Total Resources: The total expenses for DMH during a given fiscal year, as documented by the end of year independent audit.

Expenditures on Community Services: Community services expenditures will include all DMH expenses for community service providers plus the documented proportion of DMH Authority expenses that are spent for community services.

Reporting Period: The annual DMH fiscal year.

Evidence of Compliance with Measurement Methodology and Performance Level

1. **Practice Requirements.** DMH has been allocating sixty percent (60%) or more of its operating budget toward community-based services since 2003. For purposes of this letter, DMH is submitting only data collected and analyzed for FY 2006 as evidence of compliance with the required performance levels.

2. **Data Collection Methods.** In January 2008, DMH retained KPMG LLP (“KPMG”) to assist in documenting compliance with the performance requirements of Exit Criterion #18. This engagement included an assessment of existing processes, identification of additional sources of data and documentation of the data collection process. KPMG completed the engagement and issued a final report on May 30, 2008 (the “Data Collection and Analysis Report”). The Data Collection and Analysis Report describes recommended expenditure classification and allocation methods for assessing compliance with the performance requirements of Exit Criterion #18. In addition, the Data Collection and Analysis Report includes a number of recommendations for transitioning the data collection method and allocation methods from a manual to an automated process. DMH has adopted the expenditure classification and allocation methods recommended by KPMG for purposes of determining compliance with the performance requirements of Exit Criterion #18.

(a) **Data Sources.** Data was obtained from the financial management system (“SOAR”) used by the District of Columbia government (the “District”). The Office of the Chief Financial Officer (“OCFO”) asserted that the index codes contained in SOAR (the “Index Codes”) provided the best available mechanism to organize and quantify expenditure data.

DMH and KPMG used the Index Codes to identify “owners” of expenditure line items. KPMG conducted interviews with each identified expenditure owner for the purpose of defining the attributes of each Index Code (expenditure) and establishing the appropriate expenditure classification and allocation method. KPMG also spoke with representatives from the OCFO to validate the attributes of the Index Codes.

KPMG also recommended that the data extracted from SOAR should be compared with the District's Comprehensive Annual Financial Report ("CAFR") to demonstrate that expenditures have been reconciled to the end of year independent audit.

(b) **DMH Budget and Expenditure Structure.** As you know, for operational purposes DMH is organized into three primary units: the State Mental Health Authority (sometimes referred to as the "MHA"), Saint Elizabeths Hospital (the "Hospital"), and the District of Columbia Community Services Agency ("DC CSA"). However, for purposes of the budget, there are four (4) cost centers. Two (2) cost centers are administered by the MHA: (1) the MHA budget, and (2) the Community Contract Providers. The remaining cost centers are managed by the Hospital and the DC CSA, respectively.

(1) **MHA Cost Center.** In FY 2006¹, the MHA cost center consisted primarily of infrastructure and program development expenditures for the entire District of Columbia public mental health system. This included the Office of the DMH Director, the Office of Programs and Policy, the Office of Financial and Administrative Services (including the Office of Fiscal Policy, Information Technology and Human Resources), the Office of Accountability, the Office of Consumer and Family Affairs, and the Office of the DMH General Counsel².

(2) **Community Contract Providers Cost Center.** In FY 2006, the Community Contract Providers cost center was the second category of MHA-administered expenditures. This cost center also included provider payments for programs that are both invoice-based (e.g., jail diversion, supported employment) and claims-based (including Mental Health Rehabilitation Services claims).

(3) **DC CSA Cost Center.** In FY 2006, the DC CSA cost center included expenditures related to the operation of the DC CSA.³ These expenditures reflected the DC CSA's mission and status as the public community-based provider of mental health services offering a range of services that parallels those offered by private providers as well as functioning in a mental health "safety net" capacity.

(4) **Saint Elizabeths Hospital Cost Center.** In FY 2006, expenditures for Saint Elizabeths Hospital primarily reflected its mission as the District's state psychiatric hospital. While several components of the hospital (e.g., lab and food

¹ DMH has reorganized its budget and cost centers annually since FY 2006. Accordingly, some of the expenditures that were included in a particular cost center in FY 2006 may have been moved to another cost center in a subsequent year.

² In FY 2007, the funding for the salaries and benefits of the attorneys working in the DMH Office of General Counsel was transferred to the Office of the Attorney General.

³ During the course of this review, it was determined that certain expenditures included in the cost center for Saint Elizabeths Hospital were actually expenditures on behalf of the DC CSA. For example, the cost of housekeeping services at the North Center is included as an Index Code in the Saint Elizabeths Hospital cost center.

services) served both the community and inpatients, a majority of the expenditures are non-community-based. Saint Elizabeths Hospital serves both voluntary and involuntary consumers requiring inpatient treatment. The majority⁴ of DMH employees work at Saint Elizabeths Hospital.

(c) **Defined Terms.** KPMG and DMH used certain terms throughout the data collection process. The definition of these terms provided the participants with a common basis for discussion, facilitating consistency of information. These defined terms are used in the Data Collection and Analysis Report. Certain defined terms are used throughout this letter and are as follows:

(1) **Community-Based Expenditures** – Expenditures for consumer services provided outside of an institution (such as Saint Elizabeths Hospital, jail, juvenile detention or a psychiatric residential treatment facility⁵). For purposes of this analysis, the costs of inpatient care provided in a community hospital is considered a community-based expenditure. Community-based expenditures include expenditures for services such as discharge planning, and outpatient services for individuals provided at an institution. Expenditures were classified as either fully community or partially-community if the expenditure involved direct service delivery or direct support of community-based services. Potential exceptions were documented and reviewed with you during a series of meetings with KPMG and DMH staff throughout the course of the KPMG engagement. These exceptions are further described in section 2(d) of this letter.

(2) **Non-community Based Expenditures** – Expenditures for inpatient psychiatric care at Saint Elizabeths Hospital (other than discharge planning), services for consumers in jail or juvenile detention, and psychiatric residential treatment facilities.

(3) **Expenditures Indirectly Allocated** – Expenditures that are not related to direct service delivery, but support individuals and/or programs and are allocated between community and non-community due to the nature of the responsibilities.

(4) **Owner** – Individual identified as having primary responsibility or knowledge about the definition and extent to which the expenditures under an Index Code.

(5) **Allocation** – a mathematical representation of the percentage of expenditures allocated to community, non-community, or indirectly community.

⁴ In FY 2006, DMH had 1439.2 full-time-equivalent employees (“FTEs”). 253.3 of the FTEs were assigned to the MHA, 286.9 to the DC CSA and 885 to Saint Elizabeths Hospital.

⁵ In FY 2006, DMH used the term “residential treatment centers” or “RTCs” to refer to “psychiatric residential treatment facilities.” For purposes of this letter, the terms

(6) **Source of Expenditure Data** – A report of DMH expenditures by Index Code from SOAR detailing expenditures incurred by DMH in FY2006.

(7) **Index Code** – An element of the District's accounting system that organizes expenditures into specific organizational areas.

(d) **Assumptions.** The allocation methods developed by KPMG in conjunction with DMH, was based upon the following seven (7) assumptions⁶:

(1) Current-year time allocation is used in the absence of other allocation data related to FY 2006.

(2) Proxy data or best estimates are used when there is a lack of available time study data.

(3) Expenditures for Residential Treatment Centers ("RTCs") are classified as non-community based expenditures.

(4) Discharge planning services⁷ for inpatient consumers are classified as community-based⁸.

(5) Expenditures related to the Oak Hill facility⁹ are classified as non-community-based.

(6) Expenditures for care provided at Community Hospitals are classified as community-based.

⁶ The assumptions used to develop the cost allocation method were reviewed with you during meetings with representatives from DMH and KPMG on April 3, 2008 and May 7, 2008.

⁷ For purposes of this analysis, "discharge planning" for the Hospital staff is considered to include "the percentage of time social work staff offer services that "touch the community". For example, any service that is a phone call or visit to an external support (CSAs, housing, employment, etc.) or any services off the grounds of the Hospital for purposes of discharge planning.

⁸ You and I have discussed the classification of discharge planning by Hospital social workers as partially community directed expenditures on several occasions. KPMG had several follow up discussions with the Hospital social work staff regarding the appropriate allocation. For purposes of this letter, we have allocated thirty percent (30%) of those expenditures to the community, as a result of the documentation provided by those staff. We would be happy to discuss the basis for the determination and the allocation with you in more detail during an upcoming visit.

⁹ Oak Hill is the District's secured detention facility for juveniles. Oak Hill is operated by the Department of Youth Rehabilitative Services (also known as "DYRS"). Effective October 1, 2006, DYRS assumed responsibility for the provision of mental health services at Oak Hill or any other secured detention facility operated by DYRS. DMH transferred funding and FTEs to DYRS pursuant to the requirements of the Fiscal Year 2007 Budget Support Act of 2006.

- (7) Expenditures for the Comprehensive Psychiatric Emergency Program (CPEP) are classified as community-based.

(e) **Expenditure Classification Methods.** As a result of the interviews conducted with DMH personnel, KPMG recommended and DMH agreed to use the following methods to classify DMH expenditures:

- (1) **Fully community directed** - expenditures which are 100%, classified to community-based services, based on the nature of the service provided or the responsibilities carried out.
- (2) **Allocated between community and non-community** –
 - (a) **Partially allocated between community and non-community** - expenditures which are allocated between community and non-community based on quantification of the nature of the service provided or the responsibilities carried out. Quantification was based on calculation of the percentage of the expenditure which supports or benefits community directed services, and the percentage of the expenditure which supports or benefits non-community services.
 - (b) **Indirectly allocated between community and non-community** – The attributes of certain functions and services carried out primarily by DMH's Mental Health Authority were found to be not easily classified as community-based or non-community. Rather they represent functions and responsibilities that serve DMH as a whole. These expenditures (or portions of expenditures groups) were allocated to community and non-community based on the ratio of community to non-community expenditures which they support or to which they provide benefit. This was carried out as a secondary allocation against an indirect expenditure pool of all expenditures which benefited DMH as a whole. It should be noted that expenditures *indirectly* allocated to community and non-community can be used in conjunction with classification of expenditures which are *partially* community directed and partially non-community (*i.e.*, a set of expenditures or an Index Code can have a portion which is community, a portion which is non-community, and a portion which is indirect).

- (3) **Fully non-community** - expenditures which are not allocable in any way to community-based services (*i.e.*, institutional expenditures), based on the nature of the service provided or the responsibilities carried out.

3. **Performance Levels for Fiscal Years 2006.** In FY 2006, DMH had total expenditures of \$235,924,405.90. A total of \$142,627,004.27 of DMH's total expenditures in FY 2006 were allocated to community-based services.

The total allocation of expenditures as fully community-directed; partially allocated between community and non-community and fully non-community is shown in the chart below.

Total Expenditures by Community-Based Services				
Community				
MHA	\$15,030,228.56	\$15,030,228.56		
CC	\$76,833,059.32	\$76,833,059.32		
CSA	\$34,151,857.08	\$34,151,857.08		
SE	\$1,165,024.51	\$1,165,024.51		
Sub Total	\$127,180,169.47	\$127,180,169.47		
Partial				
MHA	\$19,818,507.30	\$6,861,738.71	\$8,111,892.13	\$4,844,876.46
CC	\$0.00	\$0.00	\$0.00	\$0.00
CSA	\$379,133.87	\$94,783.47	\$0.00	\$284,350.40
SE	\$16,982,179.68	\$3,586,305.90	\$0.00	\$13,395,873.78
Sub Total	\$37,179,820.85	\$10,542,828.08	\$8,111,892.13	\$18,525,100.64
Non Community				
MHA	\$978,545.06			\$978,545.06
CC	\$7,023,487.71			\$7,023,487.71
CSA	\$0.00			\$0.00
SE	\$63,562,382.81			\$63,562,382.81
Sub Total	\$71,564,415.58			\$71,564,415.58
Grand Total	\$235,924,405.90	\$137,722,997.55	\$8,111,892.13	\$90,089,516.22
		Indirect Percentage	60.45%	
		Indirect Allocation	\$4,904,006.73	
		Total Community	\$142,627,004.27	
	Community Percent		60.45%	

Details about the classification and allocation of expenditures are found in the tables marked as Exhibit A and attached for your reference. A narrative summary of the expenditures included in each details contained in each table is set forth below.

(a) **Fully Community Directed.** The table in Exhibit A, Appendix 2.1 details the index code expenditure lines that are directly attributable to community-based services. The classification is based on the nature of services, activity, and/or responsibilities of staff associated with the index code, as described in section 2(e)(1) of this letter. The table in Appendix 2.1 is summarized as follows:

- (1) MHA – twenty-three (23) index codes totaling \$15,030,228.56 in expenditures classified as community-based services.
- (2) Community Contract Providers – nine (9) index codes totaling \$76,833,059.32 in expenditures classified as community-based services.
- (3) DC CSA – sixty-nine (69) index codes totaling \$34,151,857.08 in expenditures classified as community-based services.
- (4) Saint Elizabeths Hospital – six (6) index codes totaling \$1,165,024.51 in expenditures classified as community-based services.

(b) **Partially community expenditures.** The table in Exhibit A, Appendix 2.2 represents expenditures partially allocated to community-based services. These expenditures were either directly or indirectly allocated towards community-based services as described in section 2(e)(2)(b) of this letter. The table in Appendix 2.2 is summarized as follows:

(1) **Expenditures Partially Allocated between Community and Non-Community:**

- (a) MHA – twenty-eight (28) index codes totaling \$6,861,738.71 in expenditures allocated to community services.
 - (b) DC CSA – one (1) index code totaling \$94,783.47 in expenditures allocated to community services.
 - (c) Saint Elizabeths Hospital – thirty-five (35) index codes totaling \$3,586,305.90 in expenditures allocated to community services.
- (2) **MHA Expenditures Indirectly Allocated to the Community** – nineteen (19) index codes totaling \$8,111,892.13 in expenditures were identified as expenditures indirectly allocated to the community out of a total of \$ 35,827,280.92. These indirect expenditures were then multiplied by the direct allocation percentage of the Mental Health Authority ($\$137,722,997.55 / [235,924,405.90 - 8,111,892.13] = 60.45\%$). This resulted in \$4,904,006.73 added to the total of community directed expenditures.

(c) **Fully non-community.** The table in Exhibit A, Appendix 2.3 represents expenditures that are non-community in nature. A majority of non-community services result from Saint Elizabeths' expenditures and RTC expenditures. The table in Appendix 2.3 is summarized as follows:

(1) MHA – Two (2) index codes totaling \$ 978,545.06 of the total expenditures were not attributed to community service.

(2) Community Contract providers – three (3) index codes totaling \$7,023,487.71 of the total expenditures were not attributed to community service.

(3) Saint Elizabeths Hospital – sixty-nine (69) index codes totaling \$64,922,358.23 of the total expenditures were not attributed to community service.

Conclusion.

DMH has met and exceeded the performance target for Exit Criterion #18 for FY 2006, by expending 60.45% of its total budget (\$142,627,004.27 of total expenditures of \$235,924,405.90) on community-based services.

Accordingly, DMH hereby requests that the Dixon Court Monitor: (1) find that DMH has achieved the performance levels required for Exit Criterion #18; (2) report on the performance levels to the U.S. District Court; and (3) cease active monitoring of Exit Criterion #18.

If you have any questions or wish to discuss this matter further, please feel free to call me.

Sincerely,



Stephen T. Baron
Director

Attachments (4)

Cc: Anthony A. Herman, Counsel to the Dixon Plaintiffs
Daniel R. Rezneck, Counsel for the District of Columbia

EXHIBIT A

**Department of Mental Health
Dixon Exit Criterion Number 18
Community Expenditures Summary Sheet**

Supplemental Schedule	Budget Categories		FY2006 Total Expenditures	Allocation to Community Services
1	Mental Health Authority		35,827,280.92	21,891,967.27
2	Community Contract Providers		83,856,547.03	76,833,059.32
3	DC Community Service Agency		34,530,990.95	34,246,640.55
4	St Elizabeths Hospital		81,709,587.00	4,751,330.41
5	Indirect Cost Allocation			4,904,006.73
	Total allocation for Community Service Cost	A		142,627,004.27
	Total Expenditures for 2006	B	235,924,405.90	
	Percentage of Expenditures for Community-based Services	A/B		60.45%

EXHIBIT A
SCHEDULE 1

Schedule 1- Mental Health Authority													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Community	Non-Community	Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
1	ACCOUNTABILITY POLICY SUPPORT	Manages, maintains, and provides oversight of the directives system for the department of Mental Health. Coordinates and interacts with SEH and DCCSA staff on policy issues that require their involvement. Provides consultation and recommendation on policy related matters, and responds to policy inquiries and requests from staff and providers throughout the Mental Health Network.	\$ 152,968.08			x	x	x		Multiple programs benefit from work products	66%	Average work product - 39 of the 64 policies were directly related to community. 61%- community providers, 5%- DC CSA from 2002 to 2008. 29 % is indirectly related to community.5%- SEH allocation.	\$ 100,958.93
2	ADULT SERVICES DELIVERY	The Adult Services Division (ASD) develops and/or implements a comprehensive array of services to support adults in their recovery process so they can achieve the highest possible level of community reintegration. These services include but are not limited to : supported housing, various levels of supportive residential services, supported employment services, and supports for persons who are homeless.	\$ 1,240,695.63	x						Nature of Expenditure	100%	Directly attributable to community	\$ 1,240,695.63
3	CARE COORD-CPEP JV TRF CHGS FR MEDICAID	CPEP. Court Monitor categorized CPEP as a community based service due to the Nature of Expenditures provided.	\$ 786,000.00	x						Nature of Expenditures	100%	Directly attributable to community	\$ 786,000.00
4	CARE COORDINATION	Provides information, support, crisis services, and linkages to all so that they can access services to support their recovery, resiliency, and reintegration.	\$ 1,310,957.67			x	x			Nature of Expenditures	99%	Directly attributable to community	\$ 1,297,848.09
5	CARE COORDINATION-CPEP	The Comprehensive Psychiatric Emergency Program. Community-based MHRS crisis provider.	\$ 3,081,404.95	x						Nature of Expenditures	100%	Directly attributable to community	\$ 3,081,404.95
6	CERTIFICATION OFFICE	Screens provider applications for the following Medicaid reimbursable services: MHRS, Psychiatric Residential Treatment Centers; Free Standing Mental Health Clinics and Free Standing Day Treatment Programs. Conducts periodic audits of compliance with MHRS regulations and the annual inspection of care for psychiatric RTCs. Investigates complaints regarding provision of MHRS services.	\$ 400,064.47	x						Nature of Expenditures	100%	Directly attributable to community	\$ 400,064.47
7	CHARTER SCHOOLS	Provides prevention, early intervention and treatment of mental health in DC public schools, including charter schools. For the FY 2006- 23 public schools, 9 charter schools.	\$ 714,569.37	x						Nature of Expenditures	100%	Directly attributable to community	\$ 714,569.37
8	CHIEF CLINICAL OFFICER	Advises the Director on treatment issues and provides leadership in the development of protocols and standards.	\$ 207,550.05			x	x	x		Time allocated between community/non-community services.	57%	Amount based on Time study. 60 %- Community services, 30% Indirect Allocation; 10% STE	\$ 118,303.53
9	CHIEF CLINICAL OFFICER-COSIG GRANT	Grant for collaboration between APRA and DMH on co-occurring substance abuse and mental health disorders. Included system integration; system support; expanding workforce. Development of curriculum and training programs; screening assessment; providing continuing support for cross - agency quality improvement; development of performance model.	\$ 586,792.81	x						Nature of Expenditures	100%	Directly attributable to community	\$ 586,792.81
10	CHILD & YOUTH INITIATIVES-DC CINGS	System of care that provides mental health alternatives to keep from restrictive environment	\$ 632,562.08	x						Nature of Expenditures	100%	Directly attributable to community	\$ 632,562.08
11	CHILD AND YOUTH INITIATIVES-DC CINGS		\$ 184,892.12	x							Nature of Expenditures	100%	Directly attributable to community

Schedule 1- Mental Health Authority													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
12	CHILD/YOUTH SERVICES DELIVERY	Builds an all-inclusive system of care that promotes resiliency, prevention/early intervention, continuity of care, community alternatives to residential placements and diversion from the juvenile justice system. All children programs; children care coordination.	\$ 1,743,487.19	x						Nature of Expenditures	100%	Directly attributable to community	\$ 1,743,487.19
13	CHILD/YOUTH SERVICES DELIVERY-OAK HILL	District juvenile detention facility.	\$ 904,835.06		x					Nature of Expenditures	0%	Not attributable to community services	\$ -
14	CLAIMS SECTION	Provides oversight of the processing for billing Medicaid and reconciling the Medicaid reimbursement revenue accounts receivable and providing membership service functions in the Contracts Management System for the Office of Fiscal and Administrative Services Divisions.	\$ 478,518.72	x						Nature of Expenditures	100%	Processes claims for MHRS	\$ 478,518.72
15	CONTRACTS & PROCUREMENT	Issues all agency contracts and procuring services and commodities for DMH.	\$ 705,141.73			x		x		Multiple programs benefit from work products	68%	Degree of effort for each FTE resource in department. 32 %-Indirect Allocation	\$ 479,496.38
16	CUSTOMER SERVICES	Funds transferred to the Mayors office to field calls from the community to the central call center. Total amount \$ 58,000.00. Funds not controlled by DMH were taken out from total calculation.	\$ -						x	Not controlled by DMH	0%	Funds are not controlled by DMH	\$ -
17	DELIVERY SYSTEMS OFFICE	Payments from providers for childhood services. No hospital expenditures.	\$ 1,224,016.41	x						Nature of Expenditures	100%	Directly attributable to community	\$ 1,224,016.41
18	DEPT OF MENTAL HEALTH (BUDGET ONLY)	DMH Authority fixed costs. Includes Security, rent, and utilities	\$ 3,248,218.35					x		Nature of Expenditures	0%	100% Indirect allocation	\$ -
19	DEPT OF YOUTH REHAB SVCS	Non-community based rehabilitation services.	\$ 73,710.00		x					Nature of Expenditures	0%	Not attributable to community services	\$ -
20	DIRECTOR OF ACCOUNTABILITY	Directs and operates the division of accountability , oversees the performance of all Division offices.	\$ 185,311.82			x		x		Time allocated between community and non-community services	90%	Time spent on community/non-community services per discussion with identified person. 10 % Indirect allocation	\$ 166,780.64
21	DIRECTOR OF FISCAL/ADMIN SERVICES	Produces financial information and reports for the agency so it can ensure appropriate allocation, utilization and control of Department resources.	\$ 381,893.58					x		Nature of Expenditures	0%	100% Indirect allocation	\$ -
22	EQUAL EMPLOYMENT OFFICE	Directs DMH on equal employment opportunity program and performs assignments with regard to EEO. Oversees systems of affirmative action; complaints, diversity; upward mobility, outreach.	\$ 84,800.39			x	x	x		FTE allocation between benefiting programs	33%	Expenditures allocated equally between 3 sites 33 % indirect Allocation; 34% STE.	\$ 27,984.13
23	FACILITIES PLANNING	Capital and equipment planning for the agency, including ordering of supplies and hospital- capital plans.	\$ 399,665.52			x	x	x		Multiple programs benefit from work products	20%	Per discussion with identified person 75%-work on SEH, 5%-Indirect allocation, 20% direct allocation	\$ 79,933.10
24	FEMA-KATRINA CRISIS COUNSELING SVCS	Services rendered for Katrina refugees in DC. Federal funds given to DMH for these services, mostly covered overtime expenses for staff members.	\$ 47,184.00	x						Nature of Expenditures	100%	Directly attributable to community	\$ 47,184.00

Schedule 1- Mental Health Authority													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
25	FORENSIC SERVICES	Evaluates, assesses and links individuals to continuity of care involved in the criminal justice system. The evaluation done at courts, jail and reentry center. These services are for discharge planning for those entering into the community. Therefore, the services provided were allocated towards community based services by Court Monitor.	\$ 314,000.65	x						Nature of Expenditures	100%	Directly attributable to community	\$ 314,000.65
26	GENERAL COUNSEL	Gives technical assistance and advice to program managers on legal and policy issues; interprets statutes, regulations, and judicial and administrative decisions and consent decrees to assure DMH compliance; develops and reviews departmental and interagency agreements and contract for legal sufficiency; assists the Corporation Counsel in departmental litigation; represents the Department in Federal and District administrative and legal proceedings and employee appeal cases; and processes Freedom of Information Act requests.	\$ 775,711.37			x		x		Multiple programs benefit from work products	50%	Allocation based on labor relations and case loads.50% Indirect allocation.	\$ 387,855.69
27	HOUSING DEVELOPMENT	The DMH Housing Division provides safe, decent, and affordable housing for mental health consumers in the District. One of the DMH housing expansion goals is to develop 300 new housing units through a Memorandum of Understanding (MOU) with the Department of Housing and Community Development.	\$ 141,079.08	x						Nature of Expenditures	100%	Resources dedicated to developing housing for consumers in the community	\$ 141,079.08
28	HUMAN RESOURCES OFFICE	Plans, develops, administers the comprehensive human resources service for the DMH. Position classification, position staffing, policy, program evaluation, EEO; management advisory service and HRIS; IT standards of procedures for the Division of HR.	\$ 608,509.49					x		Nature of Expenditures	0%	100% Indirect allocation	\$ -
29	INFORMATION SYSTEMS	Design, develop and implement technology. Maintain data integrity while providing tools and expertise to mine and utilize legacy and ad-hoc data. Responsible for hardware, software and telecommunications .	\$ 3,305,306.21			x	x	x		FTE allocation between benefiting programs	20%	Based on FTE allocation.17 % Indirect Allocation; 63% SEH	\$ 661,061.24
30	INTERNAL AUDIT	Support of the office of accountability. For FY 2006 15 MHRS claims were reviewed per each quarter.	\$ 38,885.29	x						Nature of Expenditures	100%	Community services	\$ 38,885.29
31	LABOR & EMPLOYEE RELATIONS	Provides support to managers and employees in order to ensure the development and implementation of a comprehensive employee and labor management relations program.	\$ 348,875.21			x	x	x		FTE allocation between benefiting programs	33%	3 FTE; 1 FTE serving community programs only. 33 % MHA- Indirect allocation; 34% SEH allocation.	\$ 115,128.82
32	LICENSURE OFFICE	Conducts annual inspections of mental health community residence facilities for the purposes of licensing these facilities. Investigates complaints regarding conditions or care and treatment of persons residing in MHRS. Assists the DOC with compliance audits.	\$ 1,063,323.12	x						Nature of Expenditures	100%	Directly attributable to community	\$ 1,063,323.12
33	MHA- FY06 OPERATING CASH RESERVE	Funds given by the Mayor's office for early retirement buy-outs.	\$ 390,000.00					x		Nature of Expenditures	0%	100% Indirect allocation	\$ -
34	MHA-ADULT SERVICES - DARTMOUTH GRANT	Supported employment for consumers.	\$ 60,089.00	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 60,089.00
35	MHA-DOES PROJECT EMPOWERMENT PLUS PROG	Evaluates, assesses and links individuals to continuity of care involved in the criminal justice system. The evaluation done at court, jail and reentry center.	\$ 36,092.00	x						Nature of Expenditures	100%	Directly attributable to community	\$ 36,092.00

Schedule 1- Mental Health Authority														
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation			
				Fully Community	Fully Non-Community	Community	Non-Community	Partially Allocated	Indirectly Allocated		Excluded	Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
36	MHA-OFFICE OF MEDICAID OPERATIONS REFORM	Unused funds paid back to Office of Medicaid Operations Reform. Funds originally allocated for temporary claims rework staff. Total amount- \$ (5,180.06)	\$ -							x	Not controlled by DMH	0%	Negative entry	\$ -
37	OFF OF THE MH DIRECTOR- 5% ADM OF AWARD	Administrative portion of block grant. Often spent on training expenses.	\$ 2,914.14	x							Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 2,914.14
38	OFFICE OF COMPLIANCE	Provider/corporate compliance.	\$ 135,150.25				x			x	Multiple programs benefit from work products	50%	50 % of work product is community based and 50% Indirect allocation.	\$ 67,575.13
39	OFFICE OF CONSUMER AFFAIRS	Provides information, supports, and linkages to all so that they can access services to support their recovery and reintegration.	\$ 853,386.96				x		x		Multiple programs benefit from work products	82%	Work products including:training sessions,site visits,calls. 18 % SEH allocation.	\$ 699,777.31
40	OFFICE OF FISCAL POLICY	Produces financial information and reports for the agency so it can ensure appropriate allocation, utilization and control of Department resources.	\$ 121,792.71	x							Nature of Expenditures	100%	Supports MHRS functions and special project related to the community.	\$ 121,792.71
41	OFFICE OF RISK MANAGEMENT	Mitigation of risks, analysis of future risks and investigations.	\$ 104,409.92				x		x		Multiple programs benefit from work products	90%	90 % Community Service; 10 % was spent on case studies and special projects at the hospital.	\$ 93,968.93
42	OFFICE OF THE MH DIRECTOR	Develops, supports and oversees a comprehensive, community-based, consumer driven, culturally competent, quality mental health system.	\$ 983,028.65				x			x	Multiple programs benefit from work products	70%	70 % of time spent on the following work products including:program development, labor relations, special projects, strategic initiatives and 30 % of time allocated to administrative functions.	\$ 688,120.06
43	OPERATIONS BRANCH	Plans, manages, directs and oversee personnel operations for DHR; develops, implements, and administers personnel management operations programs and activities; provides management advisory services to management officials; advises the Director of HR on personnel management operations programs and activities; establishes operating personnel policy, procedures, and guidelines; maintains liaison with CMHS staff and representatives of other agencies.	\$ 719,167.23				x			x	FTE allocation between benefiting programs	22%	2 FTE out of 9 support community based services.7 FTE- Indirect allocation- 78%	\$ 158,216.79
44	ORGANIZATIONAL DEVELOPMENT	Develops and provides training for staff at all levels within the organization, including training that is available to personnel from contracted agencies. Training provided to all consumer stakeholders, private mental health providers.	\$ 474,064.72				x		x		Multiple programs benefit from work products	96%	Based on a sample of SEH's attendees vs. community participants in attendance at training sessions. 4% allocated to SEH.	\$ 455,102.13

Schedule 1- Mental Health Authority													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
45	POLICY, TRAINING, & SPECIAL PROJECTS	Develops policy options and recommendations on critical issues including the development of policy options and recommendations; develops operation procedures for human resources; oversees training registration.	\$ 566,322.39						x	Multiple programs benefit from work products	0%	100 % Indirect Allocation	\$ -
46	PROVIDER RELATIONS	Provides support and enhances the success & effectiveness of the DMH provider network development.	\$ 187,216.08	x						Multiple programs benefit from work products	100%	Directly attributable to community	\$ 187,216.08
47	PUBLIC AFFAIRS	Communicates DMH programs, policies and procedures to internal and external audiences through media relations, community relations, and governmental relations. Informs the public of DMH programs, policies and procedures through print, broadcast , and cable media outlets and responds to media inquiries raising the publics awareness and understanding of DMH programs, policies and procedures.	\$ 319,301.24			x	x			Multiple programs benefit from work products	92%	Number pamphlet and press releases released in 2006.8% SEH Allocation.	\$ 293,757.14
48	QUALITY IMPROVEMENT	Provides oversight and technical assistance to community mental health providers on their internal quality improvement programs. Conducts MHRS claims and fidelity audits with assistance of DOC and DOL. Receives and analyses all unusual incident reports submitted by Community Mental Health Providers, St. Elizabeths hospital and RTCs.	\$ 222,288.43			x	x			Time allocated between community/non-community services.	90%	90 % of time allocated to claims audits.10 % of the time allocated to SEH.	\$ 200,059.59
49	SCHOOL-BASED SYSTEMS	Provides prevention, early intervention and treatment of mental health in DC public schools, including charter schools. For the FY 2006- 23 public schools, 9 charter schools.	\$ 1,837,170.74	x						Nature of Expenditures	100%	Directly attributable to community	\$ 1,837,170.74
50	SCHOOL-BASED SYSTEMS-GRANT	STOP Suicide grant from SAMHSA - The costs were associated with a community based suicide screening program that was implemented in DC schools. No programs were for institutionalized youth.	\$ 107,478.00	x						Nature of Expenditures	100%	Directly attributable to community	\$ 107,478.00
51	STATISTICAL & ANALYSIS	Collects data to be used for outcome measures. The data is used to create a national database to warehouse.	\$ 95,603.59			x	x			Multiple programs benefit from work products	62%	Number of data elements collected for community vs. non-community.38 % SEH allocation	\$ 59,274.23
52	STATISTICAL & ANALYSIS-ST.DATA INFR GR	Collects data to be used for outcome measures. The data is used to create a national data base to warehouse.	\$ 148,477.22			x	x			Multiple programs benefit from work products	62%	Number of data elements collected for community vs. non-community.38 % SEH allocation	\$ 92,055.88
53	STATISTICAL AND ANALYSIS-SDIG CARRYOVER	Collects data to be used for outcome measures. The data is used to create a national data base to warehouse.	\$ 3.69			x	x			Multiple programs benefit from work products	62%	Number of data elements collected for community vs. non-community.38 % SEH allocation	\$ 2.29
54	SYSTEM SUPPORT	Designs, develops and implements technology throughout DMH.	\$ 1,105,070.45			x	x	x		FTE allocation between benefiting programs	20%	Based on FTE/level of effort quantification. 17 % Indirect Allocation; 63% SEH	\$ 221,014.09

Schedule 1- Mental Health Authority												
	SOAR Index Code	Description	Total Expenditures	Categorization					Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated				Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community	Indirectly Allocated				
55	TELECOMMUNICATIONS	Orders, maintains all phone numbers, tie lines, pagers, cell phones and blackberries. Creates and executes purchase orders to pay for all phone usage.	\$ 1,987,323.09			x	x	x	FTE allocation between benefiting programs	20%	Based on FTE/level of effort quantification. 17 % Indirect Allocation; 63% SEH	\$ 397,464.62
	MH TOTAL		\$ 35,827,280.92									\$ 21,891,967.27

EXHIBIT A
SCHEDULE 2

Schedule 2 - Community Contract Providers

	SOAR Index Code	Description	Total Expenditures	Categorization							Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated			Excluded	Percent Allocated		Basis for the Allocation	Allocation to the Community Expenditure	
						Community	Non-Community	Indirectly Allocated						
1	ADULT-MDCD REIMBURSABLE CLAIMS	Negative entry for accounting purposes only. Agreed with Court Monitor to take out from the calculation. (\$ -1,806.14)	\$ -						x	Negative entry.	0%	Not considered for calculation	\$ -	
2	ADULT-NONMDCD REIMBURSABLE CLAIMS	Balance left to pay for 2006 MHRS claims and other invoiced services.	\$ 2,524,383.97	x						Nature of Expenditures	100%	Community Service	\$ 2,524,383.97	
3	CCP-COMM-BASED MHS FOR ELIGIBLE CFSA YTH	Unspent funds paid back to CFSA.Total amount (\$ 24,250.41)	\$ -						x	Not controlled by DMH	0%	Not considered for calculation	\$ -	
4	CFSA TRANSFER FUNDS	Transefer funds from CFSA Residential treatment centers for youth.	\$ 4,500,000.00		x					Nature of Expenditures	0%	RTC determined to be non-community service.	\$ -	
5	CFSA-HURT HOME FUNDS	Local DC RTC- non-community	\$ 119,633.73		x					Nature of Expenditures	0%	RTC determined to be non-community service.	\$ -	
6	COMMUNITY CONTRACT SVS -PATH HOUSING	Provides housing for homeless. Part of Dixon criteria.	\$ 57,000.00	x						Nature of Expenditures	100%	Community Service	\$ 57,000.00	
7	CY-MDCD REIMBURSABLE CLAIMS	The negatice entry was done for accounting reasons. As agreed with Court Monitor was taken out from the calculation Total amount of \$ (-30,782.5)	\$ -						x	Not controlled by DMH	0%	Not considered for calculation	\$ -	
8	MENTAL RETARDATION DEV DISABILITIES ADM	Connects developmentally challenged individuals with Mental Health services.	\$ 25,000.00	x						Nature of Expenditures	100%	Community Service	\$ 25,000.00	
9	MHRS OTHER COSTS	Balance left to pay for MHRS claims.	\$ 12,200.00	x						Nature of Expenditures	100%	Community Service	\$ 12,200.00	
10	NON-MDCD REIMBURSABLE CLAIMS-RESERV FUND	MHRS and other invoiced community service payments.	\$ 4,317,138.86	x						Nature of Expenditures	100%	Community Service	\$ 4,317,138.86	
11	NON-MEDICAID SER-FYO6 OPERATING CASH REV	MHRS and other invoiced community service payments.	\$ 4,147,548.30	x						Nature of Expenditures	100%	Community Service	\$ 4,147,548.30	
12	PROVIDER PAYMENTS-ADULTS	MHRS and other invoiced community service payments.	\$ 62,222,546.50	x						Nature of Expenditures	100%	~\$40MM MHRS direct community services, additional 22 was for other community services including housing, crisis, clubhouse, supported employment	\$ 62,222,546.50	
13	PROVIDER PAYMENTS-CHILD & YOUTH	Payments for childhood services; no hospital expenditures.	\$ 3,230,169.05	x						Nature of Expenditures	100%	Community Service	\$ 3,230,169.05	
14	PROVIDER PAYMENTS-MH BLK GRANT	DC State Mental Health Planning Council (federally mandated citizen advisory body to oversee Block Grant)- Annual Mental Health Conference; Supportive Housing for Young Adults and Families; Drop In Center (Planning initiative); Exercise and Nutrition for Adults with SMI Project; Family Links Outreach Center (weekend socialization program for Adults with SMI); Prevention Early Intervention Project- Child/Youth Projects (Neighborhood Services Initiative and School Mental Health Program); DMH Training Institute.	\$ 297,072.64	x						Nature of Expenditures	100%	Community Service	\$ 297,072.64	
15	RESIDENTIAL TRMT CTR CONTRACTS FOR CFSA	Funds for CFSA Residential treatment centers for youth.	\$ 2,403,853.98		x					Nature of Expenditures	0%	Non-community	\$ -	
	TOTAL		\$ 83,856,547.03										\$ 76,833,059.32	

EXHIBIT A
SCHEDULE 3

Schedule 3- DC Community Service Agency													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Excluded	Percent Allocated		Basis for the Allocation	Allocation to the Community Expenditure	
						Community	Non-Community						Indirectly Allocated
1	ACLIN-ALLISON CLINIC	Provides treatment and counseling in clinic setting.	\$ 109,046.17	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 109,046.17
2	ACT1-ASSERTIVE COMM TEAM 1	The multidisciplinary Assertive Community Treatment (ACT) teams focused on providing mental health services to consumers who require an intensive level of assistance, with the objective of keeping the consumers out of the hospital.	\$ 265,047.04	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 265,047.04
3	ACT2-ASSERTIVE COMM TEAM 2		\$ 365,232.78	x									\$ 365,232.78
4	ACT3-ASSERTIVE COMM TEAM 3		\$ 563,255.76	x									\$ 563,255.76
5	ACT4-ASSERTIVE COMM TEAM 4		\$ 1,048,770.06	x									\$ 1,048,770.06
6	ALLISON MEDICAL SERVICES	Provides medical services to community.	\$ 83,702.43	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 83,702.43
7	CBI1-COMM-BASSED INTERVENTION TEAM 1	Provides time-limited intensive mental health intervention services delivered to children, youth, and families intended to prevent the utilization of an out-of-home therapeutic resource by the consumer.	\$ 370,646.14	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 370,646.14
8	CBI2-COMM BASED INTERVENTION TEAM 2		\$ 184,488.33	x									\$ 184,488.33
9	CHILD & YOUTH SERVICES DIRECTOR	Oversees child and youth services for the community.	\$ 64,556.32	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 64,556.32
10	COMMUNITY SERVICES AGENCY (BUDGET ONLY)	DC CSA fixed costs. Includes Security, rental, and utilities	\$ 3,134,684.49	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 3,134,684.49
11	CRISIS SERVICES	Homeless outreach team. Under Mental Health Authority.	\$ 66,119.66	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 66,119.66
12	CSA - FY06 OPERATING CASH RESERVE	Funds given by the Mayor's office for early retirement buy-outs	\$ 971,146.20	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 971,146.20
13	CSA ADULT CLINICAL DIRECTOR	Oversees and supervises clinical services for community consumers.	\$ 213,181.98	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 213,181.98
14	CSA ADULT SERVICES	Provide comprehensive Mental Health Rehabilitation Services that include assessment, treatment and service coordination to adults who are residents of the DC, to assist them in their recovery.	\$ 597,976.36	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 597,976.36
15	CSA CHIEF CLINICAL OFFICER	Provides planning, policy development and the management of services to ensure that District consumers enrolled with the DC Community Services Agency receive quality, accessible and cost-efficient mental health care. This activity provides planning, policy development and mental health system design for the District to create a comprehensive and responsive system of mental health care.	\$ 980,567.44	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 980,567.44
16	CSA CLINICAL RECORDS	Links consumers with severe mental illness to primary care medical services in the community, and coordinates medical and mental health services to assist consumers' recovery from mental illness.	\$ 87,372.97	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 87,372.97
17	CSA CONSUMER ADVOCACY OFFICE	Provides information, support, and grievance services to consumers enrolled with the DC Community Services agency to ensure their rights are protected and support their recovery, resiliency, and reintegration.	\$ 355,022.94	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 355,022.94
18	CSA FACILITY MAINTENANCE COSTS	Provides maintenance support to CSA facilities.	\$ 538,306.36	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 538,306.36

Schedule 3- DC Community Service Agency													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Excluded	Percent Allocated		Basis for the Allocation	Allocation to the Community Expenditure	
						Community	Non-Community						
19	CSA FINANCE & ADMINISTRATION	Provides accountability and compliance by all financial processes of the DC CSA to include all budgetary processes, billing processes, financial reporting, AR, contracts, requisitions, and customer service.	\$ 99,390.35	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 99,390.35
20	CSA FINANCE & BUDGET	Negative entry. \$ (-106,303.24)	\$ -						x	Not controlled by DMH	0%	Not considered for calculation.	\$ -
21	CSA OFFICE OF THE DIRECTOR	Provides planning, policy development and the management of the DC CSA.	\$ 434,690.14	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 434,690.14
22	CSA PATIENT FINANCIAL SERVICES	Billing department and front desk staff.	\$ 1,078,168.64	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 1,078,168.64
23	CSA PHARMACY	Provides psychiatric medications and pharmacy education services for consumers enrolled in the DMH network who have no pharmacy benefits and are unable to pay for their medications to ensure they receive the medications necessary to their recovery.	\$ 436,585.26	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 436,585.26
24	CSA QUALITY IMPROVEMENT	Provides information, analysis, and recommendations regarding mental health services to stakeholders including consumers, providers, policy makers, and regulatory agencies in order to ensure that the DCCSA complies with legal mandates and be assured that the agency provides high quality mental health services.	\$ 571,778.20	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 571,778.20
25	CSA STAFF DEVELOPMENT & TRAINING	Provides mandated training for employees.	\$ 198,011.06	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 198,011.06
26	CST10-COMM SUPP TEAM 10	Provide consumers with mental health services designed to reduce psychiatric symptoms and develop optimal living skills.	\$ 875,132.70	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 875,132.70
27	CST11-COMM SUPP TEAM 11		\$ 624,744.44	x							100%		\$ 624,744.44
28	CST12-COMM SUPP TEAM 12		\$ 122,724.67	x							100%		\$ 122,724.67
29	CST14-COMM SUPP TEAM 14-ADULT ADDICTION		\$ 70,323.51	x							100%		\$ 70,323.51
30	CST15-COMM SUPP TEAM 15		\$ 273,702.67	x							100%		\$ 273,702.67
31	CST16-COMM SUPP TEAM 16		\$ 207,935.50	x							100%		\$ 207,935.50
32	CST17-COMM SUPP TEAM 17		\$ 319,341.07	x							100%		\$ 319,341.07
33	CST18-COMM SUPP TEAM 18		\$ 433,437.21	x							100%		\$ 433,437.21
34	CST19-COMM SUPP TEAM 19		\$ 588,606.39	x							100%		\$ 588,606.39
35	CST1-COMM SUPP TEAM 1		\$ 234,534.59	x							100%		\$ 234,534.59
36	CST20-COMM SUPP TEAM 20	Provide consumers with mental health services designed to reduce psychiatric symptoms and develop optimal living skills	\$ 186,077.09	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 186,077.09
37	CST21-COMM SUPP TEAM 21 - MSD		\$ 874,217.26	x							100%		\$ 874,217.26
38	CST22-COMM SUPP TEAM 22		\$ 342,676.49	x							100%		\$ 342,676.49
39	CST23-COMM SUPP TEAM 23		\$ 619,936.07	x							100%		\$ 619,936.07
40	CST2-COMM SUPP TEAM 2		\$ 1,308,519.19	x							100%		\$ 1,308,519.19
41	CST3-COMM SUPP TEAM 3		\$ 278,723.34	x							100%		\$ 278,723.34
42	CST4-COMM SUPP TEAM 4		\$ 569,678.92	x							100%		\$ 569,678.92
43	CST5-COMM SUPP TEAM 5		\$ 367,934.99	x							100%		\$ 367,934.99
44	CST6-COMM SUPP TEAM 6		\$ 612,526.45	x							100%		\$ 612,526.45
45	CST7-COMM SUPP TEAM 7 - MR&HI		\$ 463,476.71	x							100%		\$ 463,476.71
46	CST8-COMM SUPP TEAM 8	\$ 343,684.31	x						100%	\$ 343,684.31			
47	CST9-COMM SUPP TEAM 9	\$ 438,591.26	x						100%	\$ 438,591.26			
48	CY CLINICAL DIRECTOR	Child & Youth Clinical Director	\$ 87,507.07	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 87,507.07

Schedule 3- DC Community Service Agency														
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation			
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure	
						Community	Non-Community							
49	DAY TREATMENT 2	Provides a structured clinical program intended to develop skills and foSEHr social role integration through a range of social, psycho-educational, behavioral and cognitive mental health interventions.	\$ 197,408.44	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 197,408.44	
50	DAY TREATMENT 3		\$ 202,487.48	x									\$ 202,487.48	
51	DIRECTOR OF OPERATIONS	Provides administrative day-to-day leadership for the CSA. Provides planning and policy development and other operational costs.	\$ 6,713,415.10	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 6,713,415.10	
52	DIRECTOR OF OPERATIONS-ENG & MAINTENANCE	Provides supplies that support maintenance expenses.	\$ 13,199.90	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 13,199.90	
53	INTAKE & CARE COORDINATION	Responsible for managing services access and continuity of care.	\$ 430,137.22	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 430,137.22	
54	JACKIE ROBINSON CTR	Provides MHRS services.	\$ 356,876.35	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 356,876.35	
55	KCLIN-K ST CLINIC	Provides treatment and counseling in a clinic setting.	\$ 369,209.98	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 369,209.98	
56	MANAGER 1-COMMUNITY SUPPORT	Provides supervision to staff members	\$ 58,311.90	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 58,311.90	
57	MANAGER 2-COMMUNITY SUPPORT TEAMS		\$ 298,696.37										\$ 298,696.37	
58	MANAGER 3-COMM SUPP TEAMS		\$ 453,264.48										\$ 453,264.48	
59	MANAGER 4-CY COMM SUPPORT TEAMS		\$ 294,439.53										\$ 294,439.53	
60	MANAGER RESIDENTIAL	Residential Manager	\$ 81,590.49	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 81,590.49	
61	MOBILE STABILIZATION TEAM	Provides time-limited intensive mental health services to children and youth. Serves as psychiatric emergency services responders 24 hours a day.	\$ 79,239.84	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 79,239.84	
62	MOBILE URGENT STABILATION TEAM		\$ 60,339.79	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 60,339.79	
63	MULTICULTURAL MED SERV	Provides psychiatric services to multicultural population.	\$ 380,351.54	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 380,351.54	
64	NCLIN-NORTH CTR CLINIC	Provides treatment and counseling in clinic setting.	\$ 439,470.60	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 439,470.60	
65	NORTH CTR MEDICAL SERVICES	Provides medical services to community.	\$ 87,438.94	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 87,438.94	
66	OAK HILL SERVICES	As of 2006 all services provided in Oak Hill provided	\$ 110,171.66	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 110,171.66	
67	PAUL ROBESON SCHOOL	Rehabilitation services provided to children receiving special education.	\$ 98,885.47	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 98,885.47	
68	PUBLIC PRIVATE PARTNERSHIP	Provides supervision and support to consumers.	\$ 18,209.38	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 18,209.38	

Schedule 3- DC Community Service Agency													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated			Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community	Indirectly Allocated					
69	ROSE SCHOOL	Services provided in school setting.	\$ 80,744.13	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 80,744.13
70	THERAPEUTIC NURSERY	The negative amount is an entry made for accounting purposes only. Agreed with Court Monitor was taken out from the calculation. Total amount of \$ (-306,813.78)	\$ -						x	Not controlled by DMH	0%	Not considered for calculation.	\$ -
71	VOCATIONAL REHABILITATION	Provides supportive employment, assists in developing marketable skills.	\$ 266,189.51	x						Nature of Expenditures	100%	Resources dedicated to serving consumers in the community	\$ 266,189.51
72	WATP/PPS	Work Adjustment Training Program. Community consumers participating in the program comprise approximately 25% of the total enrollment.	\$ 379,133.87			x	x			Multiple programs benefit from work products	25%	Percentage of total amount of consumers vs. Hospital patients. 75 % SEH allocation.	\$ 94,783.47
	TOTAL DC Community Services		\$ 34,530,990.95										\$ 34,246,640.55

EXHIBIT A
SCHEDULE 4

Schedule 4 - St.Elizabeths Hospital													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
1	ASSOC DIR FACILITIES&SUPPORT OPERATIONS	Administrative operations	\$ 3,870.25	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
2	ASSOC DIR OF PERFORMANCE IMPROVEMENT	Administrative operations	\$ 16,491.98	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
3	ASSOC DIRECTOR OF FINANCE & INFORMATION	Administrative operations. Time allocated towards community based services- 1 day a month.	\$ 184,570.35			x	x		Time allocated between community and non-community services	5%	Amount based on Time study. 95% SEH allocation.	\$ 9,228.52	
4	ASSOCIATE DIRECTOR OF FORENSIC	Director of forensic services, includes outpatient services.	\$ 300,171.38			x	x		Time allocated between community and non-community services	50%	Amount based on time spent serving outpatients.	\$ 150,085.69	
5	ASSOCIATE DIRECTOR OF MEDICAL AFFAIRS	Provides active treatment to the inpatient population of St. Elizabeths Hospital.	\$ 555,380.67	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
6	CENTRAL HOUSEKEEPING OFFICE	Provides staff and visitors throughout St Elizabeths Hospital so they can enhance the therapeutic environment and increase the level of clinical performance through higher productivity and efficiency in all clinical and non-clinical areas of DMH facilities.	\$ 396,863.99	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
7	CHAPLAIN SERVICES	Chapel services.	\$ 171,541.98			x	x		Multiple programs benefit from work products	10%	Amount of outpatient consumers attending Chaplan services.90% SEH allocation	\$ 17,154.20	
8	CLINICAL NUTRITION-OUTPATIENT	Provide meals, snacks, and medical nutrition therapy to CPEP and CSA sites. Includes one FTE Registered Dietitian.	\$ 64,661.75	x					Nature of Expenditures	100%	Direct community cost	\$ 64,661.75	
9	CLINICAL PSYCHOLOGIST	Oversees psychology department. Estimated 5 % spent on community based services.	\$ 842,611.64	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
10	COMMUNICATION SECTION	Provides 24-hour communication services to SEH.	\$ 271,075.36	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
11	CONTRACTED PSYCHIATRIC SERVICES	Provides consulting for inpatients only.	\$ 16,691.68	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
12	CREATIVE ARTS THERAPY	Expenditure for creative therapy.	\$ 153,957.73	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
13	DENTAL CLINIC	Dental services to both inpatient and community consumers.	\$ 880,965.05			x	x		Multiple programs benefit from work products	66%	Amount based on total outpatient number served	\$ 581,436.93	
14	DHS-OFM-TRUCK LEASE & MAINT.	Lease of the vehicles.Intradistrict transfer.	\$ 8,237.98	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
15	DIR OF MEDICAL SERVICES	Director of Medical Services.	\$ 67,216.67	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
16	DIR OF PSYCHIATRY SERVICES	Director of Psychiatry services.	\$ 1,187,204.20			x	x		FTE allocation between benefiting programs	2%	Amount based onestimated work product. 98% SEH allocation.	\$ 23,744.08	
17	DIR OF PSYCHOLOGY	Oversees psychology department.Estimated 5% attributable towards community based services.	\$ 305,344.36	x					Nature of Expenditures	0%	Noncommunity service	\$ -	

Schedule 4 - St.Elizabeths Hospital													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Community	Non-Community	Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
18	DIR OF REHABILITATION SERVICES	Develops and implements community outreach activities/partnerships with community based organizations and university affiliations.	\$ 185,216.55			x	x			FTE allocation between benefiting programs	10%	Amount based on # FTE allocation.90% SEH allocation	\$ 18,521.66
19	DOH-COMM SUPP FOOD PROGRAM-VEHICLE LEASE	DOH leasing a truck from St. Elizabeths. Intradistrict transfer.	\$ 16,764.03		x					Nature of Expenditures	0%	Noncommunity service	\$ -
20	EDUCATION AND TRAINING	Education programs run by Hospital for all of DMH staff	\$ 569,294.75			x	x			Multiple programs benefit from work products	20%	Amount based on # FTE allocation.	\$ 113,858.95
21	EMERGENCY SERVICE & RESPONSE	Performs inspections of St. Elizabeths campus only.	\$ 221,197.28		x					Nature of Expenditures	0%	Noncommunity service	\$ -
22	ENGINEERING & MAINTENANCE	Provides maintenance and repair, emergency response and regulatory corrective actions to the Hospital to have a functional facility.	\$ 2,320,630.35		x					Nature of Expenditures	0%	Noncommunity service	\$ -
23	EYE CLINIC	Eye clinic for inpatients.	\$ 43,272.60		x					Nature of Expenditures	0%	Noncommunity service	\$ -
24	FINANCE AND BUDGET	Provides financial operations services.	\$ 586,147.70			x	x			Time allocated between community and non-community services	5%	Amount based on Time study. 95% SEH allocation.	\$ 29,307.39
25	FOOD PRODUCTION-COOK UNIT	Provide medical nutrition therapy, nutrition education, balanced meals and snacks to hospital and CPEP patients. Also provide meals and snacks to CSA sites. JHP staff do not assist with CPEP or CSA food preparation or delivery.	\$ 275,729.49							Time allocated between community and non-community services	2%	Percentage of time allocated towards community- based services.98% SEH allocation.	\$ 5,514.59
26	FOOD PRODUCTION-HEAVY DUTY FOOD WORKERS		\$ 32,381.93										\$ 647.64
27	FOOD PRODUCTION-INGREDIENT ROOM		\$ 34,725.60			x	x						\$ 694.51
28	FOOD PRODUCTION-MATERIAL HANDLERS		\$ 180,095.05										\$ 3,601.90
29	FOOD SERVICE - COLD FOOD PREPARATION		\$ 33,943.04										\$ 678.86
30	FOOD SERVICE - CT9		\$ 313,811.03										\$ 6,276.22
31	FOOD SERVICE - JHP 2ND FLOOR		\$ 288,590.68		x					Nature of Expenditures	0%	Noncommunity service	\$ -
32	FOOD SERVICE - RMB 2ND FLOOR		\$ 169,789.04				x	x		Time allocated between community and non-community services	2%	Percentage of time allocated towards community- based services.98% SEH allocation.	\$ 3,395.78
33	FOOD SERV-JHP 3RD FLOOR		\$ 85,831.27							Nature of Expenditures	0%	Noncommunity service	\$ -
34	FOOD SERV-JHP 4TH FLOOR		\$ 53,672.17		x								\$ -
35	FOOD SERV-JHP 5TH FLOOR		\$ 50,963.59										\$ -
36	FORENSIC OUTPATIENT SERVICES	Provides ongoing monitoring, consultation and treatment to insanity acquitters living in the community on court ordered conditional release. Provides expert court testimony mail and other settings to insanity acquires with co-occurring disorders.	\$ 326,722.23	x						Nature of Expenditures	100%	Community service	\$ 326,722.23
37	GEN MED OFFICER-CT	General medical services.	\$ 309,790.50							Nature of Expenditures	0%	Noncommunity service	\$ -
38	GEN MED OFFICER-FORENSIC		\$ 412,963.30										\$ -
39	GEN MED OFFICER-RMB		\$ 848,158.28		x								\$ -
40	GENERAL MEDICAL OFFICERS		\$ 594,565.71										\$ -
41	GROUPS MAINT SECTION	Provides general maintenance services, ice removal.	\$ 164,760.12										\$ -

Schedule 4 - St.Elizabeths Hospital													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
42	HEALTH SCIENCES LIBRARY	Library services. Used by all DMH employees.	\$ 112,647.72			x	x			Multiple programs benefit from work products	20%	Amount based on number of DC CSA served by the library.	\$ 22,529.54
43	HOUSEKEEPING - CT 7&8	Maintains a clean and sanitized environment throughout St. Elizabeths Hospital facilities.	\$ 318,112.73		x					Nature of Expenditures	0%	Noncommunity service	\$ -
44	HOUSEKEEPING - CT COMPLEX		\$ 164,817.24										\$ -
45	HOUSEKEEPING - FORENSIC		\$ 546,554.92										\$ -
46	HOUSEKEEPING - NORTH CENTER		\$ 454,439.15	x						Nature of Expenditures	100%	Community service	\$ 454,439.15
47	HOUSEKEEPING - RMB		\$ 430,821.33		x								\$ -
48	INFECTION CONTROL	Training, serving St. Elizabeths campus	\$ 33,181.35		x					Nature of Expenditures	0%	Noncommunity service	\$ -
49	INPATIENT SERVICES	Provides inpatient services.	\$ 19,666.43		x								\$ -
50	INTER/RESIDENTS (SALARIES ONLY)	Interns expenditures.	\$ 1,665,319.78			x	x			FTE allocation between benefiting programs	66%	Amount based on number of FTE served by DMH.Incorporates management review.	\$ 1,099,111.05
51	LABORATORY	Laboratory work for community services agencies and inpatients.	\$ 1,294,540.99			x	x			Multiple programs benefit from work products	32%	Percentage based on laboratory work conducted for community consumers.	\$ 414,253.12
52	LEGAL SERVICES	Provides outpatient pre and post trial court ordered screenings and evaluations to advise the court regarding competency, criminal responsibility, need for mental health treatment and to aid the court at sentencing.	\$ 151,499.74	x						Nature of Expenditures	100%	Community service	\$ 151,499.74
53	LOGISTIC MANAGEMENT	Oversees all transportation, planning providing manpower resources available to the need of the hospital.	\$ 209,934.00		x					Nature of Expenditures	0%	Data for the basis for allocation was not provided	\$ -
54	MAIL SERVICES SECTION	Provides Mail service for the entire Department.	\$ 42,935.04			x	x			Multiple programs benefit from work products	37%	Amount based on number of FTE served by DMH.	\$ 15,885.96
55	MATERIALS MANAGEMENT	Receives and delivers materials, supplies, postal and laundry services to patients, DMH staff employees, and customers so they can provide or receive quality patient care, respectively. Provides inventory of goods received and stock replenishment, and performs electronic receiving for all goods and services received in the Hospital.	\$ 322,632.37			x	x			Multiple programs benefit from work products	4%	Special requested projects	\$ 12,905.29
56	MEDICAL RECORDS - CIVIL SIDE	Maintains medical records	\$ 341,061.39		x					Nature of Expenditures	0%	Noncommunity service	\$ -
57	MEDICAL RECORDS - FORENSIC	Maintains medical records	\$ 46,115.79		x					Nature of Expenditures	0%	Noncommunity service	\$ -
58	NEUROLOGY CLINIC	Neurology clinic	\$ 313,900.11			x	x			Multiple programs benefit from work products	7%	Total exams provided for outpatients	\$ 21,973.01

Schedule 4 - St.Elizabeths Hospital													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
59	NEUROPSYCHIATRY	Neuropsychiatry exams for inpatients.	\$ 79,824.20			x	x			Multiple programs benefit from work products	10%	Total exams provided for outpatients	\$ 7,982.42
60	NURSING	Provides active treatment and comprehensive, quality nursing care to the in-patient population at St.Elizabeths Hospital, 24 hours a day, 7 days a week to improve the quality of life through a recovery based therapeutic program.	\$ 1,618,107.57	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
61	NURSING - CT		\$ 5,053,777.38									\$ -	
62	NURSING - FORENSIC		\$ 13,612,847.58									\$ -	
63	NURSING - OTHER		\$ 647,535.81									\$ -	
64	NURSING - RMB 1		\$ 1,223,623.39									\$ -	
65	NURSING - RMB 2		\$ 709,920.57									\$ -	
66	NURSING - RMB 3		\$ 1,117,891.93									\$ -	
67	NURSING - RMB 4		\$ 1,258,352.38									\$ -	
68	NURSING - RMB5		\$ 1,187,032.20									\$ -	
69	NURSING - RMB6		\$ 1,158,963.72									\$ -	
70	NURSING - RMB8		\$ 1,218,804.63									\$ -	
71	NUTRITION SERVICES-CENTRAL OFFICE	Provides appropriate nutrition care to patients through medical nutrition therapy and nutrition education services in a safe and sanitary environment so they can enjoy optimum nutritional health	\$ 1,986,082.92			x	x			Time allocated between community and non-community services	2%	Amount based on estimated time-study	\$ 39,721.66
72	OFF OF PAT FINANCIAL & LEGAL AFFAIRS	Legal services and financial services. Registrars and admission form preparation; All legal documents prepared to comply with keeping the patients at St. Elizabeths hospital for the DC Superior Court.	\$ 135,175.22	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
73	PATIENT FINANCIAL SERVICES		\$ 460,824.92	x					Nature of Expenditures	0%	Noncommunity service	\$ -	
74	PHARMACY	Pharmacy services.	\$ 2,516,997.43			x	x			Multiple programs benefit from work products	2%	Amount allocated to community.	\$ 50,339.95
75	PHARMACY REPLENISHMENT	Adjusting entry for pharmacy. Negative entry. (7,158.09)	\$ -						x	Not controlled by DMH	0%	Not considered for calculation	\$ -
76	PODIATRY CLINIC	Podiatric services.	\$ 44,667.01	x						Nature of Expenditures	0%	Noncommunity service	\$ -
77	POLICY & PROCEDURES	Policy and procedures compliance	\$ 98,888.97	x						Nature of Expenditures	0%	Noncommunity service	\$ -
78	POST-TRAIL SECTION-INPATIENT	Inpatient services	\$ 713,429.73	x						Nature of Expenditures	0%	Noncommunity service	\$ -
79	POST-TRIAL SECTION-LEGAL SERVICES	Outpatient service	\$ 86,911.55	x						Nature of Expenditures	100%	Community service	\$ 86,911.55
80	PRE-TRIAL SECTION-INPATIENT	Inpatient services	\$ 436,236.12	x						Nature of Expenditures	0%	Noncommunity service	\$ -
81	PRE-TRIAL SECTION-LEGAL SERVICES	Outpatient service	\$ 80,790.09	x						Nature of Expenditures	100%	Community service	\$ 80,790.09
82	PROPERTY MGMT-STK REPLENISHMENT		\$ 102,288.71	x						Nature of Expenditures	0%	Noncommunity service	\$ -
83	PSYCHIATRY OFFICE	Provides comprehensive assessment, treatment, and aftercare planning, utilizing the most advanced scientific and evidence-based methods in accordance with the recovery-based model for all adult residents of the District of Columbia, who should from time to time require hospitalization.	\$ 1,666,883.79	x						Nature of Expenditures	0%	Noncommunity service	\$ -

Schedule 4 - St.Elizabeths Hospital													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
84	PSYCHOLOGIST - INTERNS	Interns expenditures. The interns are on rotation for 9 months of the year. Two interns spent 8 hours a week.	\$ 411,651.09			x	x			FTE allocation between benefiting programs	3%	# of FTE allocated to community services	\$ 12,349.53
85	QUALITY IMPROVEMENT	Provides services for inpatient only.	\$ 290,052.33		x					Nature of Expenditures	0%	Noncommunity service	\$ -
86	RECEIVING & DISTRIBUTION		\$ 584,319.73		x					Nature of Expenditures	0%	Noncommunity service	\$ -
87	RECREATIONAL THERAPY		\$ 632,023.39		x					Nature of Expenditures	0%	Noncommunity service	\$ -
88	RESIDENCY TRAINING	Two full time residents trainees.	\$ 1,084,357.92		x					Nature of Expenditures	0%	Noncommunity service	\$ -
89	REVENUE-SERVICE TO DOH GRANTS	Closing entry. The amount of \$ 4,145.78 was taken out from the calculation.	\$ -						x	Irrelevant for expense allocation	0%	Irrelevant for expense allocation	\$ -
90	RISK MANAGEMENT	Risk management office	\$ 120,062.33		x					Nature of Expenditures	0%	Noncommunity service	\$ -
91	SECURITY - FORENSIC		\$ 263,295.11		x					Nature of Expenditures	0%	Noncommunity service	\$ -
92	SECURITY SECTION	Provides a safe and secure facility for consumers, visitors, and staff in order to maximize therapeutic treatment.	\$ 702,273.94		x					Nature of Expenditures	0%	Noncommunity service	\$ -
93	SEH OFFICE OF THE DIRECTOR		\$ 245,770.89		x					Nature of Expenditures	0%	Noncommunity service	\$ -
94	SEH-PHARM PURCHASES FOR DC DEPT OF CORR		\$ 1,529,637.22		x					Nature of Expenditures	0%	Noncommunity service	\$ -
95	SOCIAL WORK	Social work.	\$ 10,000.00			x	x			Time allocated between community and non-community services	30%	Daisy Wilhoit identified expenditures as discharge planning. Incorporates management review.	\$ 3,000.00
96	SOCIAL WORK-CT		\$ 494,028.72			x	x			Time allocated between community and non-community services	30%		\$ 148,208.62
97	SOCIAL WORK-FORENSIC		\$ 725,386.77			x	x			Time allocated between community and non-community services	30%		\$ 217,616.03
98	SOCIAL WORK-RMB		\$ 1,080,526.20			x	x			Time allocated between community and non-community services	30%		\$ 324,157.86
99	SPECIALTY CLINIC	Specialty inpatient services	\$ 45,689.73		x					Nature of Expenditures	0%	Noncommunity service	\$ -
100	ST ELIZABETH'S HOSPITAL (BUDGET ONLY)	Fixed costs for rent, utility and security.	\$ 11,942,468.41		x					Nature of Expenditures	0%	Noncommunity service	\$ -

Schedule 4 - St.Elizabeths Hospital													
	SOAR Index Code	Description	Total Expenditures	Categorization						Basis for Categorization	Allocation		
				Fully Community	Fully Non-Community	Partially Allocated		Indirectly Allocated	Excluded		Percent Allocated	Basis for the Allocation	Allocation to the Community Expenditure
						Community	Non-Community						
101	STAFF DEVELOPMENT	Provides necessary training for the staff.	\$ 51,028.90			x	x			Multiple programs benefit from work products	20%	Amount based on number of FTE served by DMH.80% SEH allocation.	\$ 10,205.78
102	SUBSTANCE ABUSE	Provides wide range of intensive inpatient treatment services in a maximum security treatment mall and other settings to insanity acquires with co-occurring disorders.	\$ 328,677.40			x	x			Multiple programs benefit from work products	40%	Amount based on total outpatient number served	\$ 131,470.96
103	TRANSPORTATION SECTION	The purpose of the transportation activity is to provide vehicles and drivers for transportation services to include but not be limited to patient food deliveries department-wide, patient/staff transport district-wide, among other services. Snow and ice removal.	\$ 1,647,610.97		x					Nature of Expenditures	0%	Noncommunity service	\$ -
104	TREATMENT MALL	Therapy services for inpatients.	\$ 232,397.04		x					Nature of Expenditures	0%	Noncommunity service	\$ -
105	UNIT 1 MAINT MECHANIC UNIT	Maintenance services.	\$ 466,583.12		x					Nature of Expenditures	0%	Noncommunity service	\$ -
106	UNIT 2 MAINT ELECTRICAL FOREMAN		\$ 454,241.09										\$ -
107	UNIT 3 MAINT MECHANIC CARPENTRY		\$ 500,436.06										\$ -
108	UTILIZATION REVIEW		\$ 196,744.53		x					Inpatient only service	0%	Noncommunity service	\$ -
109	VISUAL INFORMATION	Photograph and audio/visual services during various events. Technical support of various training programs and training seminars.	\$ 70,510.09			x	x			Time allocated between community and non-community services	80%	Time allocated to support community based services.	\$ 56,408.07
110	VOCATIONAL & EDUC REHAB SERVICES	Collaborates with Community Services Agency , Vocation Rehabilitation Specialist Coordinator to facilitate the WATP.	\$ 110,623.81			x	x			FTE allocation between benefiting programs	16%	Average of the FTE time allocated towards community services.	\$ 17,699.81
111	VOLUNTEER SERVICES	Responsible for networking and interfacing with community resource agencies and establishing partnerships with: churches, volunteer service centers in the community, private non-profit organizations, higher education institutions.	\$ 60,307.29			x	x			Multiple programs benefit from work products	4%	Funds allocated towards community based services.	\$ 2,412.29
112	WORK ADJUSTMENT TRAINING PRO (WATP-PPS)	Program focuses on assessing and evaluating consumers' ability to obtain supportive employment on the grounds of St.Elizabeths Hospital with the ultimate goal of competitive employment in the community. Consumers are referred to WATP, interviewed by prospective supervisors, places in therapeutic work assignment , and monitored regarding progress.	\$ 198,971.71			x	x			FTE allocation between benefiting programs	7%	Average of the FTE time allocated towards community services.	\$ 13,928.02
	TOTAL		\$ 81,709,587.00										\$ 4,751,330.41

EXHIBIT A
SCHEDULE 5

Schedule 5 - Indirect Cost Allocation						
Index Code		Total Expenditures	Community		Allocated Authority Functions	
			%	\$	%	\$
Mental Health Authority						
1	ACCOUNTABILITY POLICY SUPPORT	\$ 152,968.08	66%	\$ 100,958.93	29%	\$ 44,360.74
8	CHIEF CLINICAL OFFICER	\$ 207,550.05	57%	\$ 118,303.53	32%	\$ 66,416.02
15	CONTRACTS & PROCUREMENT	\$ 705,141.73	68%	\$ 479,496.38	32%	\$ 225,645.35
18	DEPT OF MENTAL HEALTH (BUDGET ONLY)	\$ 3,248,218.35	0%	\$ -	100%	\$ 3,248,218.35
20	DIRECTOR OF ACCOUNTABILITY	\$ 185,311.82	90%	\$ 166,780.64	10%	\$ 18,531.18
21	DIRECTOR OF FISCAL/ADMIN SERVICES	\$ 381,893.58	0%	\$ -	100%	\$ 381,893.58
22	EQUAL EMPLOYMENT OFFICE	\$ 84,800.39	33%	\$ 27,984.13	33%	\$ 27,984.13
23	FACILITIES PLANNING	\$ 399,665.52	20%	\$ 79,933.10	5%	\$ 19,983.28
26	GENERAL COUNSEL	\$ 775,711.37	50%	\$ 387,855.69	50%	\$ 387,855.69
28	HUMAN RESOURCES OFFICE	\$ 608,509.49	0%	\$ -	100%	\$ 608,509.49
29	INFORMATION SYSTEMS	\$ 3,305,306.21	20%	\$ 661,061.24	17%	\$ 561,902.06
31	LABOR & EMPLOYEE RELATIONS	\$ 348,875.21	33%	\$ 115,128.82	33%	\$ 115,128.82
33	MHA- FY06 OPERATING CASH RESERVE	\$ 390,000.00	0%	\$ -	100%	\$ 390,000.00
38	OFFICE OF COMPLIANCE	\$ 135,150.25	50%	\$ 67,575.13	50%	\$ 67,575.13
42	OFFICE OF THE MH DIRECTOR	\$ 983,028.65	70%	\$ 688,120.06	30%	\$ 294,908.60
43	OPERATIONS BRANCH	\$ 719,167.23	22%	\$ 158,216.79	78%	\$ 560,950.44
45	POLICY, TRAINING, & SPECIAL PROJECTS	\$ 566,322.39	0%	\$ -	100%	\$ 566,322.39
54	SYSTEM SUPPORT	\$ 1,105,070.45	20%	\$ 221,014.09	17%	\$ 187,861.98
55	TELECOMMUNICATIONS	\$ 1,987,323.09	20%	\$ 397,464.62	17%	\$ 337,844.93
	Total MHA Indirect Expenditures					\$8,111,892.13

Calculation:

Total DMH Expenditures (Summary)	\$235,924,405.90	C
Total MHA Indirect Expenditures	(\$8,111,892.13)	D
Total Expenditures to Allocate (C-D)	\$227,812,513.77	E
Total Sum of Allocated Expenditures from Schedules 1-4	\$137,722,997.55	F
Allocation Percentage (F/E)	60%	G
Indirect Cost Allocation (-D*G)	\$4,904,006.73	

EXHIBIT A
Appendix 2.1

Fully Community Expenditures					
Index Code Title		Description	Total Expenditures	Percentage Allocation	Allocation to the Community Expenditure
Mental Health Authority					
2	ADULT SERVICES DELIVERY	The Adult Services Division (ASD) develops and/or implements a comprehensive array of services to support adults in their recovery process so they can achieve the highest possible level of community reintegration. These services include but are not limited to : supported housing, various levels of supportive residential services, supported employment services, and supports for persons who are homeless.	\$ 1,240,695.63	100%	\$ 1,240,695.63
3	CARE COORD-CPEP JV TRF CHGS FR MEDICAID	CPEP. Court Monitor categorized CPEP as a community based service due to the Nature of Expenditures provided.	\$ 786,000.00	100%	\$ 786,000.00
5	CARE COORDINATION-CPEP	The Comprehensive Psychiatric Emergency Program. Community-based MHRS crisis provider.	\$ 3,081,404.95	100%	\$ 3,081,404.95
6	CERTIFICATION OFFICE	Screens provider applications for the following Medicaid reimbursable services: MHRS, Psychiatric Residential Treatment Centers; Free Standing Mental Health Clinics and Free Standing Day Treatment Programs. Conducts periodic audits of compliance with MHRS regulations and the annual inspection of care for psychiatric RTCs. Investigates complaints regarding provision of MHRS services.	\$ 400,064.47	100%	\$ 400,064.47
7	CHARTER SCHOOLS	Provides prevention, early intervention and treatment of mental health in DC public schools, including charter schools. For the FY 2006- 23 public schools, 9 charter schools.	\$ 714,569.37	100%	\$ 714,569.37
9	CHIEF CLINICAL OFFICER-COSIG GRANT	Grant for collaboration between APRA and DMH on co-occurring substance abuse and mental health disorders. Included system integration; system support; expanding workforce. Development of curriculum and training programs; screening assessment; providing continuing support for cross - agency quality improvement; development of performance model.	\$ 586,792.81	100%	\$ 586,792.81
10	CHILD & YOUTH INITIATIVES-DC CINGS	System of care that provides mental health alternatives to keep from restrictive environment.	\$ 632,562.08	100%	\$ 632,562.08
11	CHILD AND YOUTH INITIATIVES-DC CINGS	System of care that provides mental health alternatives to keep from restrictive environment.	\$ 184,892.12	100%	\$ 184,892.12
12	CHILD/YOUTH SERVICES DELIVERY	Builds an all-inclusive system of care that promotes resiliency, prevention/early intervention, continuity of care, community alternatives to residential placements and diversion from the juvenile justice system. All children programs; children care coordination.	\$ 1,743,487.19	100%	\$ 1,743,487.19
14	CLAIMS SECTION	Provides oversight of the processing for billing Medicaid and reconciling the Medicaid reimbursement revenue accounts receivable and providing membership service functions in the Contracts Management System for the Office of Fiscal and Administrative Services Divisions.	\$ 478,518.72	100%	\$ 478,518.72
17	DELIVERY SYSTEMS OFFICE	Payments from providers for childhood services. No hospital expenditures.	\$ 1,224,016.41	100%	\$ 1,224,016.41
24	FEMA-KATRINA CRISIS COUNSELING SVCS	Services rendered for Katrina refugees in DC. Federal funds given to DMH for these services, mostly covered overtime expenses for staff members.	\$ 47,184.00	100%	\$ 47,184.00
25	FORENSIC SERVICES	Evaluates, assesses and links individuals to continuity of care involved in the criminal justice system. The evaluation done at courts, jail and reentry center. These services are for discharge planning for those entering into the community. Therefore, the services provided were allocated towards community based services by Court Monitor.	\$ 314,000.65	100%	\$ 314,000.65
27	HOUSING DEVELOPMENT	The DMH Housing Division provides safe, decent, and affordable housing for mental health consumers in the District. One of the DMH housing expansion goals is to develop 300 new housing units through a Memorandum of Understanding (MOU) with the Department of Housing and Community Development.	\$ 141,079.08	100%	\$ 141,079.08
30	INTERNAL AUDIT	Support of the office of accountability. For FY 2006 15 MHRS claims were reviewed per each quarter.	\$ 38,885.29	100%	\$ 38,885.29
32	LICENSURE OFFICE	Conducts annual inspections of mental health community residence facilities for the purposes of licensing these facilities. Investigates complaints regarding conditions or care and treatment of persons residing in MHRS. Assists the DOC with compliance audits.	\$ 1,063,323.12	100%	\$ 1,063,323.12
34	MHA-ADULT SERVICES - DARTMOUTH GRANT	Supported employment for consumers.	\$ 60,089.00	100%	\$ 60,089.00
35	MHA-DOES PROJECT EMPOWERMENT PLUS PROG	Evaluates, assesses and links individuals to continuity of care involved in the criminal justice system. The evaluation done at court, jail and reentry center.	\$ 36,092.00	100%	\$ 36,092.00
37	OFF OF THE MH DIRECTOR- 5% ADM OF AWARD	Administrative portion of block grant. Often spent on training expenses.	\$ 2,914.14	100%	\$ 2,914.14
40	OFFICE OF FISCAL POLICY	Produces financial information and reports for the agency so it can ensure appropriate allocation, utilization and control of Department resources.	\$ 121,792.71	100%	\$ 121,792.71
46	PROVIDER RELATIONS	Provides support and enhances the success & effectiveness of the DMH provider network development.	\$ 187,216.08	100%	\$ 187,216.08
49	SCHOOL-BASED SYSTEMS	Provides prevention, early intervention and treatment of mental health in DC public schools, including charter schools. For the FY 2006- 23 public schools, 9 charter schools.	\$ 1,837,170.74	100%	\$ 1,837,170.74

Fully Community Expenditures					
Index Code Title		Description	Total Expenditures	Percentage Allocation	Allocation to the Community Expenditure
50	SCHOOL-BASED SYSTEMS-GRANT	STOP Suicide grant from SAMHSA - The costs were associated with a community based suicide screening program that was implemented in DC schools. No programs were for institutionalized youth.	\$ 107,478.00	100%	\$ 107,478.00
TOTAL			\$ 15,030,228.56		\$ 15,030,228.56
Community Contract Providers					
2	ADULT-NONMDCD REIMBURSABLE CLAIMS	Balance left to pay for 2006 MHRS claims and other invoiced services.	\$ 2,524,383.97	100%	\$ 2,524,383.97
6	COMMUNITY CONTRACT SVS -PATH HOUSING	Provides housing for homeless. Part of Dixon criteria.	\$ 57,000.00	100%	\$ 57,000.00
8	MENTAL RETARDATION DEV DISABILITIES ADM	Connects developmentally challenged individuals with Mental Health services.	\$ 25,000.00	100%	\$ 25,000.00
9	MHRS OTHER COSTS	Balance left to pay for MHRS claims.	\$ 12,200.00	100%	\$ 12,200.00
10	NON-MDCD REIMBURSABLE CLAIMS-RESERV FUND	MHRS and other invoiced community service payments.	\$ 4,317,138.86	100%	\$ 4,317,138.86
11	NON-MEDICAID SER-FY06 OPERATING CASH REV	MHRS and other invoiced community service payments.	\$ 4,147,548.30	100%	\$ 4,147,548.30
12	PROVIDER PAYMENTS-ADULTS	MHRS and other invoiced community service payments.	\$ 62,222,546.50	100%	\$ 62,222,546.50
13	PROVIDER PAYMENTS-CHILD & YOUTH	Payments for childhood services; no hospital expenditures.	\$ 3,230,169.05	100%	\$ 3,230,169.05
14	PROVIDER PAYMENTS-MH BLK GRANT	DC State Mental Health Planning Council (federally mandated citizen advisory body to oversee Block Grant)- Annual Mental Health Conference; Supportive Housing for Young Adults and Families; Drop In Center (Planning initiative); Exercise and Nutrition for Adults with SMI Project; Family Links Outreach Center (weekend socialization program for Adults with SMI); Prevention Early Intervention Project- Child/Youth Projects (Neighborhood Services Initiative and School Mental Health Program); DMH Training Institute.	\$ 297,072.64	100%	\$ 297,072.64
TOTAL			\$ 76,833,059.32		\$ 76,833,059.32
DC CSA					
1	ACLIN-ALLISON CLINIC	Provides treatment and counseling in clinic setting.	\$ 109,046.17	100%	\$ 109,046.17
2	ACT1-ASSERTIVE COMM TEAM 1	The multidisciplinary Assertive Community Treatment (ACT) teams focused on providing mental health services to consumers who require an intensive level of assistance, with the objective of keeping the consumers out of the hospital.	\$ 265,047.04	100%	\$ 265,047.04
3	ACT2-ASSERTIVE COMM TEAM 2		\$ 365,232.78	100%	\$ 365,232.78
4	ACT3-ASSERTIVE COMM TEAM 3		\$ 563,255.76	100%	\$ 563,255.76
5	ACT4-ASSERTIVE COMM TEAM 4		\$ 1,048,770.06	100%	\$ 1,048,770.06
6	ALLISON MEDICAL SERVICES	Provides medical services to community.	\$ 83,702.43	100%	\$ 83,702.43
7	CB11-COMM-BASSED INTERVENTION TEAM 1	Provides time-limited intensive mental health intervention services delivered to children, youth, and families intended to prevent the utilization of an out-of-home therapeutic resource by the consumer.	\$ 370,646.14	100%	\$ 370,646.14
8	CB12-COMM BASED INTERVENTION TEAM 2		\$ 184,488.33		\$ 184,488.33
9	CHILD & YOUTH SERVICES DIRECTOR	Oversees child and youth services for the community.	\$ 64,556.32	100%	\$ 64,556.32
10	COMMUNITY SERVICES AGENCY (BUDGET ONLY)	DC CSA fixed costs. Includes Security, rental, and utilities	\$ 3,134,684.49	100%	\$ 3,134,684.49
11	CRISIS SERVICES	Homeless outreach team. Under Mental Health Authority.	\$ 66,119.66	100%	\$ 66,119.66
12	CSA - FY06 OPERATING CASH RESERVE	Funds given by the Mayor's office for early retirement buy-outs	\$ 971,146.20	100%	\$ 971,146.20
13	CSA ADULT CLINICAL DIRECTOR	Oversees and supervises clinical services for community consumers.	\$ 213,181.98	100%	\$ 213,181.98
14	CSA ADULT SERVICES	Provide comprehensive Mental Health Rehabilitation Services that include assessment, treatment and service coordination to adults who are residents of the DC, to assist them in their recovery.	\$ 597,976.36	100%	\$ 597,976.36
15	CSA CHIEF CLINICAL OFFICER	Provides planning, policy development and the management of services to ensure that District consumers enrolled with the DC Community Services Agency receive quality, accessible and cost-efficient mental health care. This activity provides planning, policy development and mental health system design for the District to create a comprehensive and responsive system of mental health care.	\$ 980,567.44	100%	\$ 980,567.44
16	CSA CLINICAL RECORDS	Links consumers with severe mental illness to primary care medical services in the community, and coordinates medical and mental health services to assist consumers' recovery from mental illness.	\$ 87,372.97	100%	\$ 87,372.97
17	CSA CONSUMER ADVOCACY OFFICE	Provides information, support, and grievance services to consumers enrolled with the DC Community Services agency to ensure their rights are protected and support their recovery, resiliency, and reintegration.	\$ 355,022.94	100%	\$ 355,022.94
18	CSA FACILITY MAINTENANCE COSTS	Provides maintenance support to CSA facilities.	\$ 538,306.36	100%	\$ 538,306.36
19	CSA FINANCE & ADMINISTRATION	Provides accountability and compliance by all financial processes of the DC CSA to include all budgetary processes, billing processes, financial reporting, AR, contracts, requisitions, and customer service.	\$ 99,390.35	100%	\$ 99,390.35
21	CSA OFFICE OF THE DIRECTOR	Provides planning, policy development and the management of the DC CSA.	\$ 434,690.14	100%	\$ 434,690.14

Fully Community Expenditures					
Index Code Title		Description	Total Expenditures	Percentage Allocation	Allocation to the Community Expenditure
22	CSA PATIENT FINANCIAL SERVICES	Billing department and front desk staff.	\$ 1,078,168.64	100%	\$ 1,078,168.64
23	CSA PHARMACY	Provides psychiatric medications and pharmacy education services for consumers enrolled in the DMH network who have no pharmacy benefits and are unable to pay for their medications to ensure they receive the medications necessary to their recovery.	\$ 436,585.26	100%	\$ 436,585.26
24	CSA QUALITY IMPROVEMENT	Provides information, analysis, and recommendations regarding mental health services to stakeholders including consumers, providers, policy makers, and regulatory agencies in order to ensure that the DCCSA complies with legal mandates and be assured that the agency provides high quality mental health services.	\$ 571,778.20	100%	\$ 571,778.20
25	CSA STAFF DEVELOPMENT & TRAINING	Provides mandated training for employees.	\$ 198,011.06	100%	\$ 198,011.06
26	CST10-COMM SUPP TEAM 10	Provide consumers with mental health services designed to reduce psychiatric symptoms and develop optimal living skills.	\$ 875,132.70	100%	\$ 875,132.70
27	CST11-COMM SUPP TEAM 11		\$ 624,744.44	100%	\$ 624,744.44
28	CST12-COMM SUPP TEAM 12		\$ 122,724.67	100%	\$ 122,724.67
29	CST14-COMM SUPP TEAM 14-ADULT ADDICTION		\$ 70,323.51	100%	\$ 70,323.51
30	CST15-COMM SUPP TEAM 15		\$ 273,702.67	100%	\$ 273,702.67
31	CST16-COMM SUPP TEAM 16		\$ 207,935.50	100%	\$ 207,935.50
32	CST17-COMM SUPP TEAM 17		\$ 319,341.07	100%	\$ 319,341.07
33	CST18-COMM SUPP TEAM 18		\$ 433,437.21	100%	\$ 433,437.21
34	CST19-COMM SUPP TEAM 19		\$ 588,606.39	100%	\$ 588,606.39
35	CST1-COMM SUPP TEAM 1		\$ 234,534.59	100%	\$ 234,534.59
36	CST20-COMM SUPP TEAM 20		\$ 186,077.09	100%	\$ 186,077.09
37	CST21-COMM SUPP TEAM 21 - MSD		\$ 874,217.26	100%	\$ 874,217.26
38	CST22-COMM SUPP TEAM 22		\$ 342,676.49	100%	\$ 342,676.49
39	CST23-COMM SUPP TEAM 23		\$ 619,936.07	100%	\$ 619,936.07
40	CST2-COMM SUPP TEAM 2		\$ 1,308,519.19	100%	\$ 1,308,519.19
41	CST3-COMM SUPP TEAM 3		\$ 278,723.34	100%	\$ 278,723.34
42	CST4-COMM SUPP TEAM 4		\$ 569,678.92	100%	\$ 569,678.92
43	CST5-COMM SUPP TEAM 5		\$ 367,934.99	100%	\$ 367,934.99
44	CST6-COMM SUPP TEAM 6		\$ 612,526.45	100%	\$ 612,526.45
45	CST7-COMM SUPP TEAM 7 - MR&HI		\$ 463,476.71	100%	\$ 463,476.71
46	CST8-COMM SUPP TEAM 8		\$ 343,684.31	100%	\$ 343,684.31
47	CST9-COMM SUPP TEAM 9		\$ 438,591.26	100%	\$ 438,591.26
48	CY CLINICAL DIRECTOR	Child & Youth Clinical Director.	\$ 87,507.07	100%	\$ 87,507.07
49	DAY TREATMENT 2	Provides a structured clinical program intended to develop skills and foster social role integration through a range of social, psycho-educational, behavioral and cognitive mental health interventions.	\$ 197,408.44	100%	\$ 197,408.44
50	DAY TREATMENT 3		\$ 202,487.48	100%	\$ 202,487.48
51	DIRECTOR OF OPERATIONS	Provides administrative day-to-day leadership for the CSA. Provides planning and policy development and other operational costs.	\$ 6,713,415.10	100%	\$ 6,713,415.10
52	DIRECTOR OF OPERATIONS-ENG & MAINTENANCE	Provides supplies that support maintenance expenses.	\$ 13,199.90	100%	\$ 13,199.90
53	INTAKE & CARE COORDINATION	Responsible for managing services access and continuity of care.	\$ 430,137.22	100%	\$ 430,137.22
54	JACKIE ROBINSON CTR	Provides MHRS services.	\$ 356,876.35	100%	\$ 356,876.35
55	KCLIN-K ST CLINIC	Provides treatment and counseling in a clinic setting.	\$ 369,209.98	100%	\$ 369,209.98
56	MANAGER 1-COMMUNITY SUPPORT	Provides supervision to staff members.	\$ 58,311.90	100%	\$ 58,311.90
57	MANAGER 2-COMMUNITY SUPPORT TEAMS		\$ 298,696.37	100%	\$ 298,696.37
58	MANAGER 3-COMM SUPP TEAMS		\$ 453,264.48	100%	\$ 453,264.48
59	MANAGER 4-CY COMM SUPPORT TEAMS		\$ 294,439.53	100%	\$ 294,439.53
60	MANAGER RESIDENTIAL	Residential Manager	\$ 81,590.49	100%	\$ 81,590.49
61	MOBILE STABILIZATION TEAM	Provides time-limited intensive mental health services to children and youth. Serves as psychiatric emergency services responders 24 hours a day.	\$ 79,239.84	100%	\$ 79,239.84
62	MOBILE URGENT STABILATION TEAM		\$ 60,339.79	100%	\$ 60,339.79
63	MULTICULTURAL MED SERV	Provides psychiatric services to multicultural population.	\$ 380,351.54	100%	\$ 380,351.54
64	NCLIN-NORTH CTR CLINIC	Provides treatment and counseling in clinic setting.	\$ 439,470.60	100%	\$ 439,470.60
65	NORTH CTR MEDICAL SERVICES	Provides medical services to community.	\$ 87,438.94	100%	\$ 87,438.94
66	OAK HILL SERVICES	As of 2006 all services provided in Oak Hill provided	\$ 110,171.66	100%	\$ 110,171.66
67	PAUL ROBESON SCHOOL	Rehabilitation services provided to children receiving special education.	\$ 98,885.47	100%	\$ 98,885.47

Fully Community Expenditures					
Index Code Title		Description	Total Expenditures	Percentage Allocation	Allocation to the Community Expenditure
68	PUBLIC PRIVATE PARTNERSHIP	Provides supervision and support to consumers.	\$ 18,209.38	100%	\$ 18,209.38
69	ROSE SCHOOL	Services provided in school setting.	\$ 80,744.13	100%	\$ 80,744.13
71	VOCATIONAL REHABILITATION	Provides supportive employment, assists in developing marketable skills.	\$ 266,189.51	100%	\$ 266,189.51
	TOTAL		\$ 34,151,857.08		\$ 34,151,857.08
St.Elizabeths Hospital					
8	CLINICAL NUTRITION-OUTPATIENT	Provide meals, snacks, and medical nutrition therapy to CPEP and CSA sites. Includes one FTE Registered Dietitian.	\$ 64,661.75	100%	\$ 64,661.75
36	FORENSIC OUTPATIENT SERVICES	Provide medical nutrition therapy, nutrition education, balanced meals and snacks to hospital and CPEP patients. Also provide meals and snacks to CSA sites. JHP staff do not assist with CPEP or CSA food preparation or delivery.	\$ 326,722.23	100%	\$ 326,722.23
46	HOUSEKEEPING - NORTH CENTER	Maintains a clean and sanitized environment throughout St. Elizabeths Hospital facilities.	\$ 454,439.15	100%	\$ 454,439.15
52	LEGAL SERVICES	Provides outpatient pre and post trial court ordered screenings and evaluations to advise the court regarding competency, criminal responsibility, need for mental health treatment and to aid the court at sentencing.	\$ 151,499.74	100%	\$ 151,499.74
79	POST-TRIAL SECTION-LEGAL SERVICES	Outpatient service	\$ 86,911.55	100%	\$ 86,911.55
81	PRE-TRIAL SECTION-LEGAL SERVICES	Outpatient service	\$ 80,790.09	100%	\$ 80,790.09
	TOTAL		\$ 1,165,024.51		\$ 1,165,024.51

EXHIBIT A
Appendix 2.2

Partial Community Expenditures											
Index Code		Description	Total Expenditures	Community		Allocated Authority Functions		Non-community Percentage		Method	
				%	\$	%	\$	%	\$		
Mental Health Authority											
1	ACCOUNTABILITY POLICY SUPPORT	Manages, maintains, and provides oversight of the directives system for the department of Mental Health. Coordinates and interacts with SEH and DCCSA staff on policy issues that require their involvement. Provides consultation and recommendation on policy related matters, and responds to policy inquiries and requests from staff and providers throughout the Mental Health Network.	\$ 152,968.08	66%	\$ 100,958.93	29%	\$ 44,360.74	5%	\$ 7,648.40	Product	
4	CARE COORDINATION	Provides information, support, crisis services, and linkages to all so that they can access services to support their recovery, resiliency, and reintegration.	\$ 1,310,957.67	99%	\$ 1,297,848.09	0%	\$ -	1%	\$ 13,109.58	Product	
8	CHIEF CLINICAL OFFICER	Advises the Director on treatment issues and provides leadership in the development of protocols and standards.	\$ 207,550.05	57%	\$ 118,303.53	32%	\$ 66,416.02	11%	\$ 22,830.51	Time	
15	CONTRACTS & PROCUREMENT	Issues all agency contracts and procuring services and commodities for DMH.	\$ 705,141.73	68%	\$ 479,496.38	32%	\$ 225,645.35	0%	\$ -	Product	
18	DEPT OF MENTAL HEALTH (BUDGET ONLY)	DMH Authority fixed costs. Includes Security, rent, and utilities	\$ 3,248,218.35	0%	\$ -	100%	\$ 3,248,218.35	0%	\$ -	Nature	
20	DIRECTOR OF ACCOUNTABILITY	Directs and operates the division of accountability , oversees the performance of all Division offices.	\$ 185,311.82	90%	\$ 166,780.64	10%	\$ 18,531.18	0%	\$ -	Time	
21	DIRECTOR OF FISCAL/ADMIN SERVICES	Produces financial information and reports for the agency so it can ensure appropriate allocation, utilization and control of Department resources.	\$ 381,893.58	0%	\$ -	100%	\$ 381,893.58	0%	\$ -	Nature	
22	EQUAL EMPLOYMENT OFFICE	Directs DMH on equal employment opportunity program and performs assignments with regard to EEO. Oversees systems of affirmative action; complaints, diversity; upward mobility, outreach.	\$ 84,800.39	33%	\$ 27,984.13	33%	\$ 27,984.13	34%	\$ 28,832.13	FTE	
23	FACILITIES PLANNING	Capital and equipment planning for the agency, including ordering of supplies and hospital- capital plans.	\$ 399,665.52	20%	\$ 79,933.10	5%	\$ 19,983.28	75%	\$ 299,749.14	Product	
26	GENERAL COUNSEL	Gives technical assistance and advice to program managers on legal and policy issues; interprets statutes, regulations, and judicial and administrative decisions and consent decrees to assure DMH compliance; develops and reviews departmental and interagency agreements and contract for legal sufficiency; assists the Corporation Counsel in departmental litigation; represents the Department in Federal and District administrative and legal proceedings and employee appeal cases; and processes Freedom of Information Act requests.	\$ 775,711.37	50%	\$ 387,855.69	50%	\$ 387,855.69	0%	\$ -	Time	
28	HUMAN RESOURCES OFFICE	Plans, develops, administers the comprehensive human resources service for the DMH. Position classification, position staffing, policy, program evaluation, EEO; management advisory service and HRIS; IT standards of procedures for the Division of HR.	\$ 608,509.49	0%	\$ -	100%	\$ 608,509.49	0%	\$ -	Nature	
29	INFORMATION SYSTEMS	Design, develop and implement technology. Maintain data integrity while providing tools and expertise to mine and utilize legacy and ad-hoc data. Responsible for hardware, software and telecommunications .	\$ 3,305,306.21	20%	\$ 661,061.24	17%	\$ 561,902.06	63%	\$ 2,082,342.91	FTE	
31	LABOR & EMPLOYEE RELATIONS	Provides support to managers and employees in order to ensure the development and implementation of a comprehensive employee and labor management relations program.	\$ 348,875.21	33%	\$ 115,128.82	33%	\$ 115,128.82	34%	\$ 118,617.57	FTE	
33	MHA- FY06 OPERATING CASH RESERVE	Funds given by the Mayor's office for early retirement buy-outs.	\$ 390,000.00	0%	\$ -	100%	\$ 390,000.00	0%	\$ -	Nature	
38	OFFICE OF COMPLIANCE	Provider/corporate compliance.	\$ 135,150.25	50%	\$ 67,575.13	50%	\$ 67,575.13	0%	\$ -	Nature	

Partial Community Expenditures										
Index Code		Description	Total Expenditures	Community		Allocated Authority Functions		Non-community Percentage		Method
				%	\$	%	\$	%	\$	
39	OFFICE OF CONSUMER AFFAIRS	Provides information, supports, and linkages to all so that they can access services to support their recovery and reintegration.	\$ 853,386.96	82%	\$ 699,777.31	0%	\$ -	18%	\$ 153,609.65	Time
41	OFFICE OF RISK MANAGEMENT	Mitigation of risks, analysis of future risks and investigations.	\$ 104,409.92	90%	\$ 93,968.93	0%	\$ -	10%	\$ 10,440.99	Product
42	OFFICE OF THE MH DIRECTOR	Develops, supports and oversees a comprehensive, community-based, consumer driven, culturally competent, quality mental health system.	\$ 983,028.65	70%	\$ 688,120.06	30%	\$ 294,908.60	0%	\$ -	Time
43	OPERATIONS BRANCH	Plans, manages, directs and oversee personnel operations for DHR; develops, implements, and administers personnel management operations programs and activities; provides management advisory services to management officials; advises the Director of HR on p	\$ 719,167.23	22%	\$ 158,216.79	78%	\$ 560,950.44	0%	\$ -	Product
44	ORGANIZATIONAL DEVELOPMENT	Develops and provides training for staff at all levels within the organization, including training that is available to personnel from contracted agencies. Training provided to all consumer stakeholders, private mental health providers.	\$ 474,064.72	96%	\$ 455,102.13	0%	\$ -	4%	\$ 18,962.59	Product
45	POLICY, TRAINING, & SPECIAL PROJECTS	Develops policy options and recommendations on critical issues including the development of policy options and recommendations; develops operation procedures for human resources; oversees training registration.	\$ 566,322.39	0%	\$ -	100%	\$ 566,322.39	0%	\$ -	Nature
47	PUBLIC AFFAIRS	Communicates DMH programs, policies and procedures to internal and external audiences through media relations, community relations, and governmental relations. Informs the public of DMH programs, policies and procedures through print, broadcast , and cable media outlets and responds to media inquiries raising the publics awareness and understanding of DMH programs, policies and procedures.	\$ 319,301.24	92%	\$ 293,757.14	0%	\$ -	8%	\$ 25,544.10	Product
48	QUALITY IMPROVEMENT	Provides oversight and technical assistance to community mental health providers on their internal quality improvement programs. Conducts MHRS claims and fidelity audits with assistance of DOC and DOL. Receives and analyses all unusual incident reports submitted by Community Mental Health Providers, St. Elizabeths hospital and RTCs.	\$ 222,288.43	90%	\$ 200,059.59	0%	\$ -	10%	\$ 22,228.84	Time
51	STATISTICAL & ANALYSIS	Collects data to be used for outcome measures. The data is used to create a national database to warehouse.	\$ 95,603.59	62%	\$ 59,274.23	0%	\$ -	38%	\$ 36,329.36	Product
52	STATISTICAL & ANALYSIS-ST.DATA INFR		\$ 148,477.22	62%	\$ 92,055.88	0%	\$ -	38%	\$ 56,421.34	Product
53	STATISTICAL AND ANALYSIS-SDIG CARRY		\$ 3.69	62%	\$ 2.29	0%	\$ -	38%	\$ 1.40	Product
54	SYSTEM SUPPORT	Designs, develops and implements technology throughout DMH.	\$ 1,105,070.45	20%	\$ 221,014.09	17%	\$ 187,861.98	63%	\$ 696,194.38	FTE
55	TELECOMMUNICATIONS	Orders, maintains all phone numbers, tie lines, pagers, cell phones and blackberries. Creates and executes purchase orders to pay for all phone usage.	\$ 1,987,323.09	20%	\$ 397,464.62	17%	\$ 337,844.93	63%	\$ 1,252,013.55	FTE
	TOTAL		\$ 19,818,507.30		\$ 6,861,738.71		\$ 8,111,892.13		\$ 4,844,876.46	
DC CSA										
72	WATP/PPS	Work Adjustment Training Program. Community consumers participating in the program comprise approximately 25% of the total enrollment.	\$ 379,133.87	25%	\$ 94,783.47	0%	\$ 0.00	75%	\$ 284,350.40	Product
	TOTAL		\$ 379,133.87		\$ 94,783.47		\$ 0.00		\$ 284,350.40	
St.Elizabeths Hospital										
3	ASSOC DIRECTOR OF FINANCE & INFORMATION	Administrative operations. Time allocated towards community based services- 1 day a month.	\$ 184,570.35	5%	\$ 9,228.52	0%	\$ -	95%	\$ 175,341.83	Product
4	ASSOCIATE DIRECTOR OF FORENSIC	Director of forensic services, includes outpatient services.	\$ 300,171.38	50%	\$ 150,085.69	0%	\$ -	50%	\$ 150,085.69	Time
7	CHAPLAIN SERVICES	Chapel services.	\$ 171,541.98	10%	\$ 17,154.20	0%	\$ -	90%	\$ 154,387.78	Product
13	DENTAL CLINIC	Dental services to both inpatient and community consumers.	\$ 880,965.05	66%	\$ 581,436.93	0%	\$ -	34%	\$ 299,528.12	Product
16	DIR OF PSYCHIATRY SERVICES	Director of Psychiatry services.	\$ 1,187,204.20	2%	\$ 23,744.08	0%	\$ -	98%	\$ 1,163,460.12	Time

Partial Community Expenditures										
Index Code		Description	Total Expenditures	Community		Allocated Authority Functions		Non-community Percentage		Method
				%	\$	%	\$	%	\$	
18	DIR OF REHABILITATION SERVICES	Develops and implements community outreach activities/partnerships with community based organizations and university affiliations.	\$ 185,216.55	10%	\$ 18,521.66	0%	\$ -	90%	\$ 166,694.90	Time
20	EDUCATION AND TRAINING	Education programs run by Hospital for all of DMH staff	\$ 569,294.75	20%	\$ 113,858.95	0%	\$ -	80%	\$ 455,435.80	Product
24	FINANCE AND BUDGET	Provides financial operations services.	\$ 586,147.70	5%	\$ 29,307.39	0%	\$ -	95%	\$ 556,840.32	Product
25	FOOD PRODUCTION-COOK UNIT	Provide medical nutrition therapy, nutrition education, balanced meals and snacks to hospital and CPEP patients. Also provide meals and snacks to CSA sites. JHP staff do not assist with CPEP or CSA food preparation or delivery.	\$ 275,729.49	2%	\$ 5,514.59	0%	\$ -	98%	\$ 270,214.90	Time
26	FOOD PRODUCTION-HEAVY DUTY FOOD WORKERS		\$ 32,381.93	2%	\$ 647.64	0%	\$ -	98%	\$ 31,734.29	Time
27	FOOD PRODUCTION-INGREDIENT ROOM		\$ 34,725.60	2%	\$ 694.51	0%	\$ -	98%	\$ 34,031.09	Time
28	FOOD PRODUCTION-MATERIAL HANDLERS		\$ 180,095.05	2%	\$ 3,601.90	0%	\$ -	98%	\$ 176,493.15	Time
29	FOOD SERVICE - COLD FOOD PREPARATION		\$ 33,943.04	2%	\$ 678.86	0%	\$ -	98%	\$ 33,264.18	Time
30	FOOD SERVICE - CT9		\$ 313,811.03	2%	\$ 6,276.22	0%	\$ -	98%	\$ 307,534.81	Time
32	FOOD SERVICE - RMB 2ND FLOOR		\$ 169,789.04	2%	\$ 3,395.78	0%	\$ -	98%	\$ 166,393.26	Time
42	HEALTH SCIENCES LIBRARY	Library services. Used by all DMH employees.	\$ 112,647.72	20%	\$ 22,529.54	0%	\$ -	80%	\$ 90,118.18	Product
50	INTER/RESIDENTS (SALARIES ONLY)	Interns expenditures.	\$ 1,665,319.78	66%	\$ 1,099,111.05	0%	\$ -	34%	\$ 566,208.73	Time
51	LABORATORY	Laboratory work for community services agencies and inpatients.	\$ 1,294,540.99	32%	\$ 414,253.12	0%	\$ -	68%	\$ 880,287.87	Product
54	MAIL SERVICES SECTION	Provides Mail service for the entire Department.	\$ 42,935.04	37%	\$ 15,885.96	0%	\$ -	63%	\$ 27,049.08	Product
55	MATERIALS MANAGEMENT	Receives and delivers materials, supplies, postal and laundry services to patients, DMH staff employees, and customers so they can provide or receive quality patient care, respectively. Provides inventory of goods received and stock replenishment, and performs electronic receiving for all goods and services received in the Hospital.	\$ 322,632.37	4%	\$ 12,905.29	0%	\$ -	96%	\$ 309,727.08	Product
58	NEUROLOGY CLINIC	Neurology clinic	\$ 313,900.11	7%	\$ 21,973.01	0%	\$ -	93%	\$ 291,927.10	Product
59	NEUROPSYCHIATRY	Neuropsychiatry exams for inpatients.	\$ 79,824.20	10%	\$ 7,982.42	0%	\$ -	90%	\$ 71,841.78	FTE
71	NUTRITION SERVICES-CENTRAL OFFICE	Provides appropriate nutrition care to patients through medical nutrition therapy and nutrition education services in a safe and sanitary environment so they can enjoy optimum nutritional health.	\$ 1,986,082.92	2%	\$ 39,721.66	0%	\$ -	98%	\$ 1,946,361.26	Time
74	PHARMACY	Pharmacy services	\$ 2,516,997.43	2%	\$ 50,339.95	0%	\$ -	98%	\$ 2,466,657.48	Product
84	PSYCHOLOGIST - INTERNS	Interns expenditures. The interns are on rotation for 9 months of the year. Two interns spent 8 hours a week.	\$ 411,651.09	3%	\$ 12,349.53	0%	\$ -	97%	\$ 399,301.56	Time
95	SOCIAL WORK	Social work	\$ 10,000.00	30%	\$ 3,000.00	0%	\$ -	70%	\$ 7,000.00	Time
96	SOCIAL WORK	Social work	\$ 494,028.72	30%	\$ 148,208.62	0%	\$ -	70%	\$ 345,820.10	Time
97	SOCIAL WORK-FORENSIC	Social work	\$ 725,386.77	30%	\$ 217,616.03	0%	\$ -	70%	\$ 507,770.74	Time
98	SOCIAL WORK	Social work	\$ 1,080,526.20	30%	\$ 324,157.86	0%	\$ -	70%	\$ 756,368.34	Time
101	STAFF DEVELOPMENT	Provides necessary training for the staff.	\$ 51,028.90	20%	\$ 10,205.78	0%	\$ -	80%	\$ 40,823.12	Product
102	SUBSTANCE ABUSE	Provides wide range of intensive inpatient treatment services in a maximum security treatment mall and other settings to insanity acquires with co-occurring disorders.	\$ 328,677.40	40%	\$ 131,470.96	0%	\$ -	60%	\$ 197,206.44	Time
109	VISUAL INFORMATION	Photograph and audio/visual services during various events. Technical support of various training programs and training seminars.	\$ 70,510.09	80%	\$ 56,408.07	0%	\$ -	20%	\$ 14,102.02	Product
110	VOCATIONAL & EDUC REHAB SERVICES	Collaborates with Community Services Agency , Vocation Rehabilitation Specialist Coordinator to facilitate the WATP.	\$ 110,623.81	16%	\$ 17,699.81	0%	\$ -	84%	\$ 92,924.00	FTE
111	VOLUNTEER SERVICES	Responsible for networking and interfacing with community resource agencies and establishing partnerships with: churches, volunteer service centers in the community, private non-profit organizations, higher education institutions.	\$ 60,307.29	4%	\$ 2,412.29	0%	\$ -	96%	\$ 57,895.00	Time

Partial Community Expenditures											
Index Code		Description	Total Expenditures	Community		Allocated Authority Functions		Non-community Percentage		Method	
				%	\$	%	\$	%	\$		
112	WORK ADJUSTMENT TRAINING PRO (WAT	Program focuses on assessing and evaluating consumers’ ability to obtain supportive employment on the grounds of St.Elizabeths Hospital with the ultimate goal of competitive employment in the community. Consumers are referred to WATP, interviewed by prospective supervisors, places in therapeutic work assignment , and monitored regarding progress.	\$ 198,971.71	7%	\$ 13,928.02	0%	\$ -	93%	\$ 185,043.69	FTE	
	TOTAL		\$ 16,982,179.68		\$3,586,305.90		\$0.00		\$13,395,873.78		

EXHIBIT A
Appendix 2.3

Fully Non-community Expenditures					
Index Code Title	Description	Total Expenditures	Percentage Allocation to the Category	Allocation to the Category	
Mental Health Authority					
13	CHILD/YOUTH SERVICES DELIVERY-OAK HILL	District juvenile detention facility.	\$ 904,835.06	100%	\$ 904,835.06
19	DEPT OF YOUTH REHAB SVCS	Non-community based rehabilitation services.	\$ 73,710.00	100%	\$ 73,710.00
	TOTAL		\$ 978,545.06		\$ 978,545.06
Community Contract Providers					
4	CFSA TRANSFER FUNDS	Tranferer funds from CFSA Residential treatment centers for youth.	\$ 4,500,000.00	100%	\$ 4,500,000.00
5	CFSA-HURT HOME FUNDS	Local DC RTC- non-community	\$ 119,633.73	100%	\$ 119,633.73
15	RESIDENTIAL TRMT CTR CONTRACTS FOR CFSA	Funds for CFSA Residential treatment centers for youth.	\$ 2,403,853.98	100%	\$ 2,403,853.98
	TOTAL		\$ 7,023,487.71		\$ 7,023,487.71
St.Elizabeths Hospital					
1	ASSOC DIR FACILITIES&SUPPORT OPERATIONS	Administrative operations	\$ 3,870.25	100%	\$ 3,870.25
2	ASSOC DIR OF PERFORMANCE IMPROVEMENT	Administrative operations	\$ 16,491.98	100%	\$ 16,491.98
5	ASSOCIATE DIRECTOR OF MEDICAL AFFAIRS	Provides active treatment to the inpatient population of St. Elizabeths Hospital.	\$ 555,380.67	100%	\$ 555,380.67
6	CENTRAL HOUSEKEEPING OFFICE	Provides staff and visitors throughout St Elizabeths Hospital so they can enhance the therapeutic environment and increase the level of clinical performance through higher productivity and efficiency in all clinical and non-clinical areas of DMH facilities.	\$ 396,863.99	100%	\$ 396,863.99
9	CLINICAL PSYCHOLOGIST	Oversees psychology department. Estimated 5 % spent on community based services.	\$ 842,611.64	100%	\$ 842,611.64
10	COMMUNICATION SECTION	Provides 24-hour communication services to SEH.	\$ 271,075.36	100%	\$ 271,075.36
11	CONTRACTED PSYCHIATRIC SERVICES	Provides consulting for inpatients only.	\$ 16,691.68	100%	\$ 16,691.68
12	CREATIVE ARTS THERAPY	Expenditure for creative therapy.	\$ 153,957.73	100%	\$ 153,957.73
14	DHS-OFM-TRUCK LEASE & MAINT.	Lease of the vehicles.Intradistrict transfer.	\$ 8,237.98	100%	\$ 8,237.98
15	DIR OF MEDICAL SERVICES	Director of Medical Services.	\$ 67,216.67	100%	\$ 67,216.67
17	DIR OF PSYCHOLOGY	Oversees psychology department.Estimated 5% attributable towards community based services.	\$ 305,344.36	100%	\$ 305,344.36
19	DOH-COMM SUPP FOOD PROGRAM-VEHICLE LEASE	DOH leasing a truck from St. Elizabeths. Intradistrict transfer.	\$ 16,764.03	100%	\$ 16,764.03
21	EMERGENCY SERVICE & RESPONSE	Performs inspections of St. Elizabeths campus only.	\$ 221,197.28	100%	\$ 221,197.28
22	ENGINEERING & MAINTENANCE	Provides maintenance and repair, emergency response and regulatory corrective actions to the Hospital to have a functional facility.	\$ 2,320,630.35	100%	\$ 2,320,630.35
23	EYE CLINIC	Eye clinic for inpatients.	\$ 43,272.60	100%	\$ 43,272.60
31	FOOD SERVICE - JHP 2ND FLOOR	Provide medical nutrition therapy, nutrition education, balanced meals and snacks to hospital and CPEP patients Also provide meals and snacks to CSA sites. JHP staff do not assist with CPEP or CSA food preparation or delivery.	\$ 288,590.68	100%	\$ 288,590.68
33	FOOD SERV-JHP 3RD FLOOR		\$ 85,831.27	100%	\$ 85,831.27
34	FOOD SERV-JHP 4TH FLOOR		\$ 53,672.17	100%	\$ 53,672.17
35	FOOD SERV-JHP 5TH FLOOR		\$ 50,963.59	100%	\$ 50,963.59
37	GEN MED OFFICER-CT	General medical services.	\$ 309,790.50	100%	\$ 309,790.50
38	GEN MED OFFICER-FORENSIC		\$ 412,963.30	100%	\$ 412,963.30
39	GEN MED OFFICER-RMB		\$ 848,158.28	100%	\$ 848,158.28
40	GENERAL MEDICAL OFFICERS		\$ 594,565.71	100%	\$ 594,565.71
41	GROUND MAINT SECTION	Provides general maintenance services, ice removal.	\$ 164,760.12	100%	\$ 164,760.12
43	HOUSEKEEPING - CT 7&8	Maintains a clean and sanitized environment throughout St. Elizabeths Hospital facilities.	\$ 318,112.73	100%	\$ 318,112.73
44	HOUSEKEEPING - CT COMPLEX		\$ 164,817.24	100%	\$ 164,817.24
45	HOUSEKEEPING - FORENSIC		\$ 546,554.92	100%	\$ 546,554.92
47	HOUSEKEEPING - RMB		\$ 430,821.33	100%	\$ 430,821.33
48	INFECTION CONTROL	Training, serving St. Elizabeths campus	\$ 33,181.35	100%	\$ 33,181.35
49	INPATIENT SERVICES	Provides inpatient services.	\$ 19,666.43	100%	\$ 19,666.43
53	LOGISTIC MANAGEMENT	Oversees all transportation, planning providing manpower resources available to the need of the hospital.	\$ 209,934.00	100%	\$ 209,934.00
56	MEDICAL RECORDS - CIVIL SIDE	Maintains medical records	\$ 341,061.39	100%	\$ 341,061.39
57	MEDICAL RECORDS - FORENSIC	Maintains medical records	\$ 46,115.79	100%	\$ 46,115.79
60	NURSING	Provides active treatment and comprehensive, quality nursing care to the in-patient population at St.Elizabeths Hospital, 24 hours a day, 7 days a week to improve the quality of life through a recovery based therapeutic program.	\$ 1,618,107.57	100%	\$ 1,618,107.57
61	NURSING - CT		\$ 5,053,777.38	100%	\$ 5,053,777.38
62	NURSING - FORENSIC		\$ 13,612,847.58	100%	\$ 13,612,847.58
63	NURSING - OTHER		\$ 647,535.81	100%	\$ 647,535.81
64	NURSING - RMB 1		\$ 1,223,623.39	100%	\$ 1,223,623.39
65	NURSING - RMB 2		\$ 709,920.57	100%	\$ 709,920.57
66	NURSING - RMB 3		\$ 1,117,891.93	100%	\$ 1,117,891.93

Fully Non-community Expenditures					
Index Code Title		Description	Total Expenditures	Percentage Allocation to the Category	Allocation to the Category
67	NURSING - RMB 4		\$ 1,258,352.38	100%	\$ 1,258,352.38
68	NURSING - RMB5		\$ 1,187,032.20	100%	\$ 1,187,032.20
69	NURSING - RMB6		\$ 1,158,963.72	100%	\$ 1,158,963.72
70	NURSING - RMB8		\$ 1,218,804.63	100%	\$ 1,218,804.63
72	OFF OF PAT FINANCIAL & LEGAL AFFAIRS	Legal services and financial services. Registrars and admission form preparation; All legal documents prepared to comply with keeping the patients at St. Elizabeths hospital for the DC Superior Court.	\$ 135,175.22	100%	\$ 135,175.22
73	PATIENT FINANCIAL SERVICES		\$ 460,824.92	100%	\$ 460,824.92
76	PODIATRY CLINIC	Podiatric services.	\$ 44,667.01	100%	\$ 44,667.01
77	POLICY & PROCEDURES	Policy and procedures compliance	\$ 98,888.97	100%	\$ 98,888.97
78	POST-TRAIL SECTION-INPATIENT	Inpatient services	\$ 713,429.73	100%	\$ 713,429.73
80	PRE-TRIAL SECTION-INPATIENT	Inpatient services	\$ 436,236.12	100%	\$ 436,236.12
82	PROPERTY MGMT-STK REPLENISHMENT		\$ 102,288.71	100%	\$ 102,288.71
83	PSYCHIATRY OFFICE	Provides comprehensive assessment, treatment, and aftercare planning, utilizing the most advanced scientific and evidence-based methods in accordance with the recovery-based model for all adult residents of the District of Columbia, who should from time to time require hospitalization.	\$ 1,666,883.79	100%	\$ 1,666,883.79
85	QUALITY IMPROVEMENT	Provides services for inpatient only.	\$ 290,052.33	100%	\$ 290,052.33
86	RECEIVING & DISTRIBUTION		\$ 584,319.73	100%	\$ 584,319.73
87	RECREATIONAL THERAPY		\$ 632,023.39	100%	\$ 632,023.39
88	RESIDENCY TRAINING	Two full time residents trainees.	\$ 1,084,357.92	100%	\$ 1,084,357.92
90	RISK MANAGEMENT	Risk management office	\$ 120,062.33	100%	\$ 120,062.33
91	SECURITY - FORENSIC		\$ 263,295.11	100%	\$ 263,295.11
92	SECURITY SECTION	Provides a safe and secure facility for consumers, visitors, and staff in order to maximize therapeutic treatment.	\$ 702,273.94	100%	\$ 702,273.94
93	SEH OFFICE OF THE DIRECTOR		\$ 245,770.89	100%	\$ 245,770.89
94	SEH-PHARM PURCHASES FOR DC DEPT OF CORR		\$ 1,529,637.22	100%	\$ 1,529,637.22
99	SPECIALTY CLINIC	Specialty inpatient services	\$ 45,689.73	100%	\$ 45,689.73
100	ST ELIZABETH'S HOSPITAL (BUDGET ONLY)	Fixed costs for rent, utility and security.	\$ 11,942,468.41	100%	\$ 11,942,468.41
103	TRANSPORTATION SECTION	The purpose of the transportation activity is to provide vehicles and drivers for transportation services to include but not be limited to patient food deliveries department-wide, patient/staff transport district-wide, among other services. Snow and ice removal.	\$ 1,647,610.97	100%	\$ 1,647,610.97
104	TREATMENT MALL	Therapy services for inpatients.	\$ 232,397.04	100%	\$ 232,397.04
105	UNIT 1 MAINT MECHANIC UNIT	Maintenance services.	\$ 466,583.12	100%	\$ 466,583.12
106	UNIT 2 MAINT ELECTRICAL FOREMAN		\$ 454,241.09	100%	\$ 454,241.09
107	UNIT 3 MAINT MECHANIC CARPENTRY		\$ 500,436.06	100%	\$ 500,436.06
108	UTILIZATION REVIEW		\$ 196,744.53	100%	\$ 196,744.53
	TOTAL		\$ 63,562,382.81		\$ 63,562,382.81

GOVERNMENT OF THE DISTRICT OF COLUMBIA
DEPARTMENT OF MENTAL HEALTH



Office of the Director

September 29, 2008

Dennis R. Jones, Monitor
1730 Rhode Island Avenue, NW, Suite 206
Washington, D.C. 20036

Re: Dixon et al. v. Fenty, et al.
CA No. 74-285 (TJH)
Evidence of Compliance with Exit Criterion #18 – 60% of Expenditures Directed Toward
the Community

Dear Mr. Jones:

I am writing to supplement my letter of June 9, 2008 regarding compliance with the requirements of Exit Criterion #18 (the “June 9th Letter”). You asked that we provide additional information about the cost allocation methods used for the costs incurred by the Division of Human Resources. There were four index codes for the Division of Human Resources. They were (1) Line 22 – Equal Opportunity Office, (2) Line 31 – Labor and Employee Relations; (3) Line 43 - Operations Branch; and (4) Line 45 – Policy, Training and Other Special Projects. *See* Exhibit A, Schedule 1, Lines 22, 31, 43 and 45 of the June 9th Letter.

We asked representatives from KPMG LLP (“KPMG”) to address your question. The employee allocation for three (3) of the four index codes (Lines 22, 31 and 45) did not change. However, the allocation for Line 43 was changed after further inquiry.

As indicated in Exhibit A, Schedule 1, Line 43 of the June 9th Letter, there were nine (9) full time employee equivalents (“FTEs”) assigned to the Operations Branch in FY 2006. Originally, the Division of Human Resources (“DHR”) staff allocated the costs associated with two (2) employees directly to the community. The costs for the remaining seven (7) were allocated through the indirect allocation method.

Total expenditures were \$719,167.23. Twenty-two percent (22%) the Operations Branch expenditures were allocated to the community (\$158,216.79). The remainder was indirectly allocated in accordance with the methods described in section 2(e)(2)(b) of the June 9th letter.

After subsequent inquiry, DHR staff allocated the costs of three (3) employees directly to the community. The costs of two (2) employees were allocated directly to Saint Elizabeths Hospital and the costs for the remaining four (4) employees were indirectly allocated. A table showing the correct allocation of all DHR employees is set forth below.

HR Index Code	Direct Services	St. Elizabeths Hospital Allocation	Indirect Services
Operations Branch	Sharon Lofton	Clara Orino	Wanda Green
	Annie Harris	Cynthia Hawkins	Susan Compton Maddox
	John Coghlan		Anglia Fulwood
			Lori McDonald
Labor and Employee Relations	Bill Weaver	Frankie Wheeler	Douglas Dove
	Brendolyn McCarty-Jones		LaVonne Evans
Human Resources Office			Ivy McKinley
EEO	David Prince	David Prince	David Prince
Policy Training and Special Projects			Naomi Chapman
			Wanda Jones
			Loisa Buadoo-Amoa
			Sheila Craft


The net result of this reallocation is a reduction of \$64,298.00 removed from the costs allocated to the community and reallocated to the costs allocated to Saint Elizabeths Hospital. The change in allocation method for the Operations Branch expenditures results in a small reduction in the total percentage of expenditures allocated to the community from 60.45% to 60.43%.

Conclusion.

DMH has met and exceeded the performance target for Exit Criterion #18 for FY 2006, by expending 60.43% of its total budget (\$142,562,706.27 of total expenditures of \$235,924,405.90) on community-based services. Accordingly, DMH hereby requests that the Dixon Court Monitor: (1) find that DMH has achieved the performance levels required for Exit Criterion #18; (2) report on the performance levels to the U.S. District Court; and (3) cease active monitoring of Exit Criterion #18.

If you have any questions or wish to discuss this matter further, please feel free to call me.

Sincerely,



Stephen L. Baron
Director

EXHIBIT A

Partial Community Expenditures										
Index Code		Total Expenditures	Community		Allocated Authority Functions		Non-community Percentage		Method	
			%	\$	%	\$	%	\$		
Original										
21	DIRECTOR OF FISCAL/ADMIN SERVICES	\$ 381,893.58	0%	\$ -	100%	\$ 381,893.58	0%	\$ -	Nature	
22	EQUAL EMPLOYMENT OFFICE	\$84,800.39	33%	\$27,984.13	33%	\$27,984.13	34%	\$28,832.13	FTE	
28	HUMAN RESOURCES OFFICE	\$608,509.49	0%	\$ -	100%	\$608,509.49	0%	\$ -	Nature	
43	OPERATIONS BRANCH	\$719,167.23	22%	\$158,216.79	78%	\$560,950.44	0%	\$ -	FTE	
45	POLICY, TRAINING, & SPECIAL PROJECTS	\$566,322.39	0%	\$ -	100%	\$566,322.39	0%	\$ -	Nature	
	TOTAL	\$2,360,693.08		\$186,200.92		\$ 2,145,660.03		\$ 28,832.13		

Modified									
21	DIRECTOR OF FISCAL/ADMIN SERVICES	\$ 381,893.58	0%	\$ -	100%	\$ 381,893.58	0%	\$ -	Nature
22	EQUAL EMPLOYMENT OFFICE	\$84,800.39	33%	\$27,984.13	33%	\$27,984.13	34%	\$28,832.13	FTE
28	HUMAN RESOURCES OFFICE	\$608,509.49	0%	\$ -	100%	\$608,509.49	0%	\$ -	Nature
43	OPERATIONS BRANCH	\$719,167.23	33%	\$237,325.19	45%	\$323,625.25	22%	\$158,216.79	FTE
45	POLICY, TRAINING, & SPECIAL PROJECTS	\$566,322.39	0%	\$ -	100%	\$566,322.39	0%	\$ -	Nature
TOTAL		\$2,360,693.08		\$265,309.32		\$ 1,908,334.84		\$187,048.92	

Change:

\$79,108.40	A	-\$237,325.19	B	\$158,216.79
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Indirect % to Community (* B) 60% -\$143,406.86 C

Total Change in Community (A+B) -\$64,298.46 D

Total DMH Expenditures \$235,924,405.90 E

Percent Value (D/E) -0.0273%
Community Percent 60.43%

Dennis R. Jones
Office of Dixon Court Monitor

1730 Rhode Island Ave. N.W. Suite 206
Washington, D.C. 20036
(202) 778-1163

October 16, 2008

Stephen T. Baron, Director
Department of Mental Health
64 New York Ave, NE
Washington, DC 20002

Re: Evidence of Compliance Re: Exit Criteria #18 – Expenditures Directed Toward the Community

Dear Mr. Baron:

I am writing in response to your letter of June 9, 2008 and supplemental letter of September 29, 2008. The Court Monitor, after extensive review of the underlying facts, finds that the District has met and exceeded the required performance level for Exit Criterion #18 – Percentage of Total Expenditures Directed Toward Community-Based Services. The Court-approved requirement is 60%; DMH achieved and has documented a community expenditure level of 60.43% for FY 2006.

Under the terms of the December 2003 Consent Order, this Exit Criterion will now move to inactive monitoring status. The DMH is required to continue to provide data on all Exit Criteria (active and inactive) to the Court Monitor and to the plaintiffs. Given the extensive data requirements for this Exit Criterion, it will be imperative that DMH demonstrate the capability to collect and analyze data for this criterion in future reports to the Court.

I applaud the detailed work that DMH has done on this Exit Criterion. I look forward to sustained levels of performance for future periods.

Sincerely,

Dennis R. Jones MSW, MBA
Dixon Court Monitor

Cc: Robert Duncan, Counsel to Court Monitor
Anthony A Herman, Counsel to the Dixon Plaintiffs
Daniel R Rezneck, Counsel for the District of Columbia
Matt Caspari, General Counsel for DMH
Iris Gonzales, Counsel to the Dixon Plaintiffs
Jennifer Whitfield, Counsel to the Dixon Plaintiffs